

EY Legal & Compliance Technology & Digital Solutions

Transforming the Legal and Compliance
functions through technology and
innovation



EY
Building a better
working world



As business expectations and pressures increase for **Legal and Compliance** departments, clients look to technology solutions to monitor their business, mitigate risk, integrate initiatives and lessen costs. EY teams and Microsoft are helping clients meet these demands and be the innovation drivers of their business.

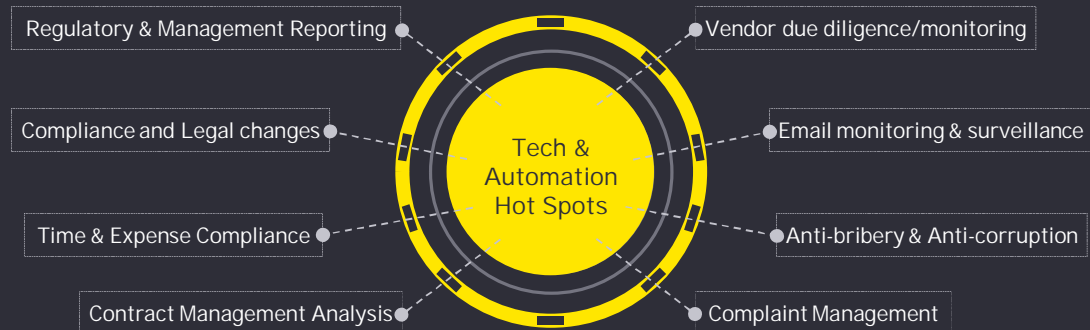
Reinventing the Legal and Compliance journey for businesses

The business world operates on Microsoft 365, and the Office suite is not only used for select tasks. For instance, documents within Office 365 are a foundational need for legal, ethics and compliance teams to effectively do their job.

EY and Microsoft solutions:

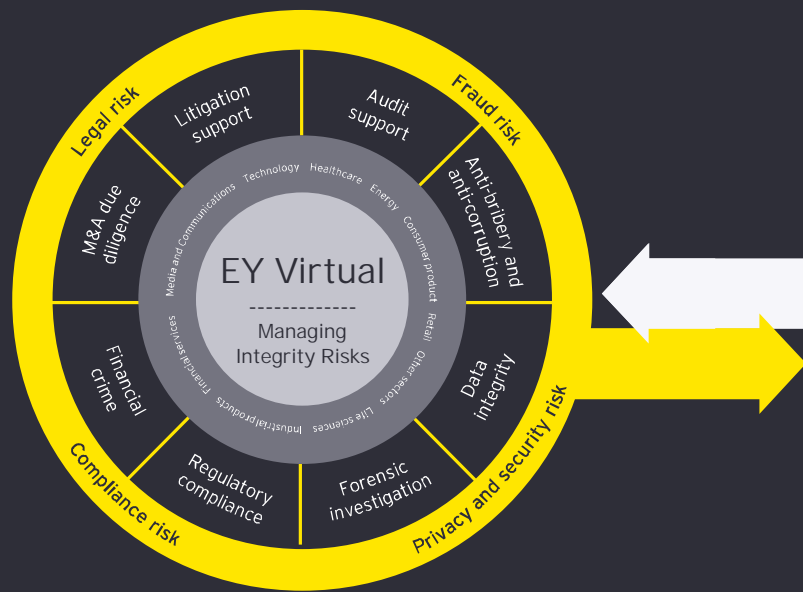
- Go beyond typical spot solutions: The EY Microsoft alliance has global credentials that span the Legal, Ethics and Compliance agenda to meet your business needs.
- Focuses on a spectrum of solutions with enhanced technology integration: EY Virtual complements the intelligent compliance and risk management solutions offered in Microsoft 365 and helps clients optimize how they use Microsoft technologies while providing supplemental EY Apps for analytics, workflow, visualization, and more.
- Provides a common technology "front door" through EY Virtual: Helps maximize the use of broader technology investments while being able to quickly and safely access your data to be responsive for various business and stakeholder requirements at hand.


Industry View – Tech & Automation Hot Spots for Legal & Compliance



The **EY Virtual** platform – a single-point digital solution, available via the cloud or hybrid, helps organizations manage their legal, compliance, ethics, and risks through a suite of AI-driven analytics and workflow applications. The platform, which the Microsoft Cloud powers, can complement the powerful information protection and advanced compliance capabilities in **Microsoft 365 E5**, including **Insider Risk**, **eDiscovery**, and **Information Governance and Compliance** to protect and govern data while reducing risk. EY teams and Microsoft aim to serve multinational organizations better to meet their enterprise-wide legal, ethics, and compliance agendas.

EY Virtual is a modular management and monitoring platform built on the Microsoft Azure stack



 Microsoft 365 E5 Compliance

Insider Risk

Harnessing the power of Microsoft's Insider Risk Management, EY teams work with the alliance to help clients shape their programs and can supplement with low-code digital apps through EY Virtual on Microsoft Azure. Sample of complementary EY Virtual apps include Risk & Compliance Assessments, Culture & Training.

Advanced eDiscovery

EY teams offer the ability to wrap eDiscovery solutions with management, workflow, and machine learning with Microsoft 365. In addition, EY Virtual offers third-party complementary apps for integrated support.

Compliance

EY teams leverage the data points that Microsoft provides on documents and email and pares that with EY's behavior and conduct developed apps and solutions to offer full Compliance analytics. Sample of EY Virtual apps include Compliance workflows, IT Compliance, Risk Assessments.

Information governance & protection

EY teams support leading data hygiene practices through an integrated platform and subject matter advisor expertise. Additionally, there's the ability to complement solutions with EY Virtual apps, including Risk Assessments, Program Maturity, and Vendor Management.

For more information, please contact:

EY contact:



Todd Marlin
Global Forensic Technology & Innovation Leader
Ernst & Young LLP
todd.marlin@ey.com



Meribeth Banaschik
Europe West Innovation Leader
Ernst & Young LLP
meribeth.Banaschik@de.ey.com



Arpit Bothra
Compliance Module Leader
Ernst & Young LLP
arpit.bothra@ey.com



Eric Derk
Advanced eDiscovery and InfoGov Modules Leader
Ernst & Young LLP
eric.derk@ey.com



Lou Bladel
Insider Threat Module Leader
Ernst & Young LLP
lou.bladel@ey.com

Microsoft contact:



Jodi Lustgarten
Microsoft Alliance Director
Microsoft Corporation
jodise@microsoft.com

EY awards and recognition

Microsoft 2021
**Global Advisory
Partner
of the Year** –
2nd year in a row!

Microsoft 2021
**Global Power
Apps and Power
Automate
Partner
of the Year**

Microsoft 2021
**Partner of
the year**
US
Chile
Lithuania

Microsoft 2021
Global Finalist
**Energy
Education
Modern Work and
Security for Apps and
Solutions for
Microsoft Teams**

Microsoft 2021
Area Award
**Game Changer
of the year**
India

Microsoft 2021
Area Award
**Industry
Innovation
Impact Award**
Canada

Microsoft 2021
Area Award
**Advisory & Azure
Analytics Partner
of the Year**
Ireland

Leader
Microsoft Business
Application
Services by the
Forrester Wave™,
2021

Leader
IDC Marketscape
Worldwide
Microsoft
Implementation
Services, 2021

EY certifications on Microsoft technology

EY professionals have attained 15 certifications and 5 advanced specializations on Microsoft technology by demonstrating a wide range of successful **implementations, capabilities and technical competencies.**

Gold Competency

Application Development	Application Integration	Cloud Business Applications	Cloud Platform	Cloud Productivity
Collaboration and Content	Data Analytics	Data Platform	Data Center	DevOps
Enterprise Resource Planning	Messaging	Security	Project and Portfolio Management	

Advanced Specialization

Low Code Application Development	Analytics on Microsoft Azure	Adoption and Change Management	Windows Server and SQL Server Migration to Microsoft Azure	AI and Machine Learning in Microsoft Azure
----------------------------------	------------------------------	--------------------------------	--	--

Customer success story: Optimizing spend management process for a US-based multinational technology corporation

Client challenges

- Extensive and manual control and compliance monitoring process based on sample testing
- Need for a solution to monitor risks in various areas, with the possibility to analyze high-risk cases

Developing an opportunity

- EY teams leveraged its modular management and monitoring platform, EY Virtual, to configure a Spend Analytics app to the client's requirement, including:
 - Data Management
 - Case Management
 - Visualization
- The spend analytics app looks at all vendor spend for the business to identify high-risk transactions for various risk areas, including fraud, corruption, privacy, policy and compliance

Client benefits

- Provides access to a comprehensive, cohesive, intelligent, and scalable suite of solutions
- Saves 10,000 work hours annually by rating transaction data in real-time
- Facilitates centralized reporting with role-based access for custom views
- Supports automated risk rating of 100% of invoices
- Offers near real-time review over a significant number of risk factors
- Imparts 6k+ annual reviews with minimal business input
- Provides a scalable and secure solution connected to FDL

EY and Microsoft: Work Better. Achieve More.

Every day, throughout the world, businesses, governments, and capital markets rely on EY business ingenuity and the power of Microsoft technology to solve the most challenging global issues.

EY and Microsoft bring a compelling formula to spark the potential of the cloud and unlock the power of data. We solve our clients' most challenging issues by blending trusted industry expertise with innovative cloud technology. Our strategic relationship draws on decades of success developing visionary solutions that provide lasting value.

Together, we empower organizations to create exceptional experiences that help the world work better and achieve more.

For more information, visit: ey.com/Microsoft.

EY | Building a better working world

EY exists to build a better working world, helping to create long-term value for clients, people and society and build trust in the capital markets.

Enabled by data and technology, diverse EY teams in over 150 countries provide trust through assurance and help clients grow, transform and operate.

Working across assurance, consulting, law, strategy, tax and transactions, EY teams ask better questions to find new answers for the complex issues facing our world today.



EY refers to the global organization, and may refer to one or more, of the member firms of Ernst & Young Global Limited, each of which is a separate legal entity. Ernst & Young Global Limited, a UK company limited by guarantee, does not provide services to clients. Information about how EY collects and uses personal data and a description of the rights individuals have under data protection legislation are available via ey.com/privacy. EY member firms do not practice law where prohibited by local laws. For more information about our organization, please visit ey.com.

© 2022 EYGM Limited.
All Rights Reserved.

EYG no. 002706-22Gb1
CSG no.
ED None

This material has been prepared for general informational purposes only and is not intended to be relied upon as accounting, tax, legal or other professional advice. Please refer to your advisors for specific advice.

ey.com