



PROCOMP.

G R C & l e g a l t e c h n o l o g y b y M E S A

PRODUCT OVERVIEW

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Problems

Even the best GRC systems are **complex** and **difficult to implement** and companies are struggling to **measure the effectiveness and efficiency** of models and controls.




The need to **fully govern the current risks**, increasingly complex, and the emerging ones is growing.

People are often **not fully aware of and involved** in legal and GRC issues due to the complexity of the system and the absence of effective supporting tools

Solution



Designed and developed around people who need to implement or apply GRC systems.

-  Simplicity
-  Customization
-  User Experience



WHY ADOPT A DIGITAL PLATFORM FOR GRC

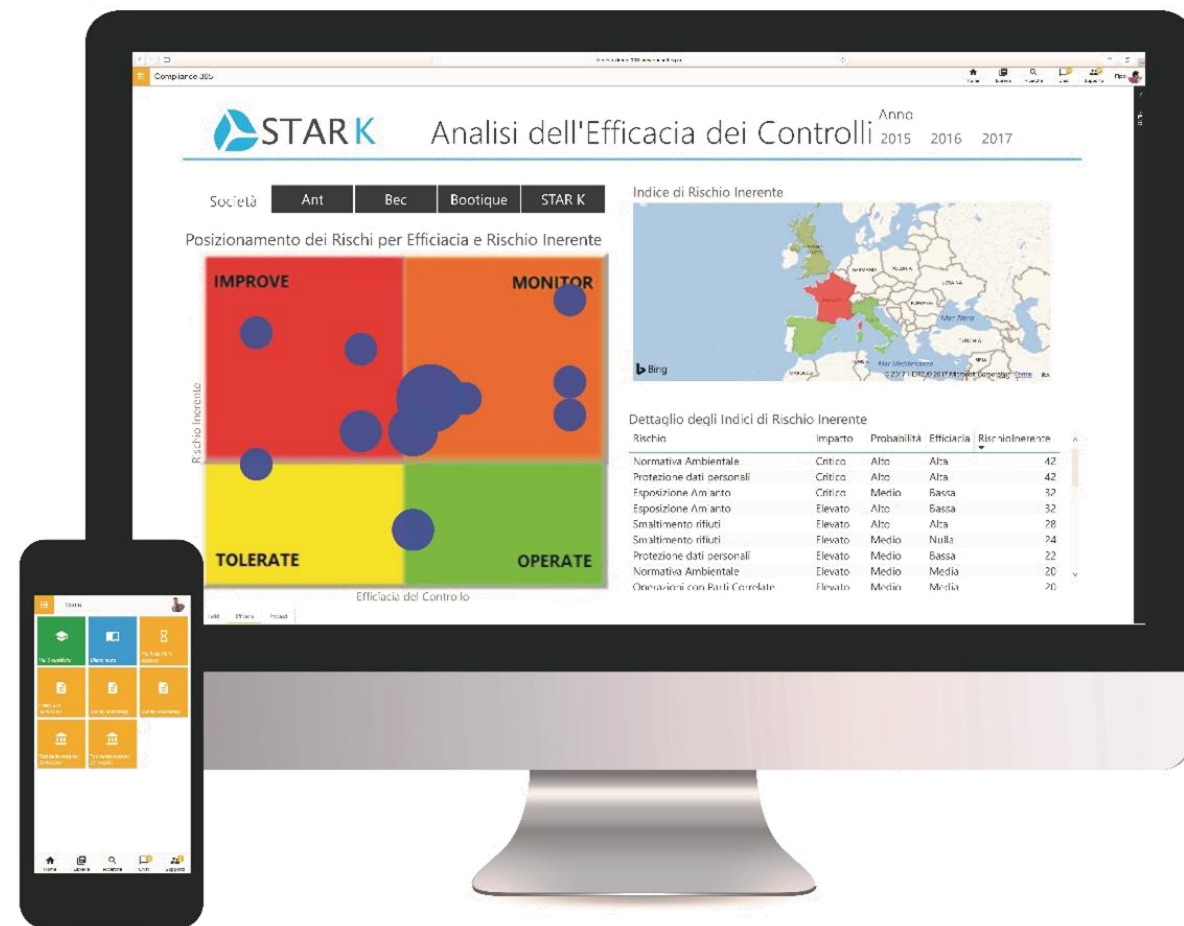


- ✓ Improves control in GRC to the relevant functions and administrators.
- ✓ Reduces the time and running costs associated with GRC.
- ✓ Improves performance through the adoption of best practices.
- ✓ Simplifies audit processes and controls.
- ✓ Evidence that resources are aware of corporate compliance.

PROCOMP.

Integrated Risk Management & Legal Technologies ALL IN ONE platform.

It allows to manage all GRC issues in an integrated way and to monitor their correct implementation, both within the company or a corporate group, and with reference to the "third parties" involved in the processes and managing risk management processes in a dynamic and integrated way.



INTEGRATED APPROACH



With the integrated approach, you can interconnect the different modules to **simplify GRC process management**. Each process can be monitored across all lines of defense, for example: the results of risk assessments developed within verticals 231 will be automatically available within the IRM, internal audits will be carried out in multi-normative mode and remediation plans can be centralized.

BENEFITS OF INTEGRATED APPROACH



Policy Management

Allows management of company policies, communication to the organization and assistance in case of support requests, reaching both employees and third parties, through an App with an attractive and intuitive interface, usable from both mobile and desktop.

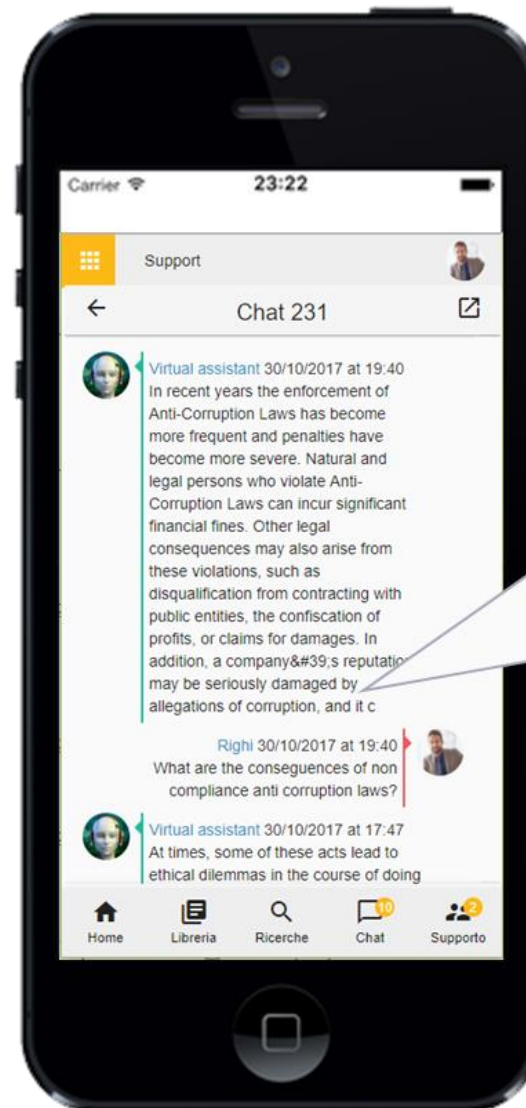
- **Create, edit, approve and archive** policies, procedures and materials (summaries, training, tests, etc.), defining roles, permissions and approval workflows for each entity
- **Automatic** alignment of all content
- **Organization and management** of data and performance indicators
- **Customized dashboards** and reporting for viewing and monitoring progress, indicators and statistics
- Management of feedback from employees and third parties
- Ability to **integrate** specific and customized vertical modules (e.g. search and verification of related parties, tracking of donations, hospitality, sponsorships, etc.).



Policy Management

Based on a complex **Artificial Intelligence Interface**, it learns from content and provides immediate support to users.

- It provides **answers** to the questions asked by users using a **natural language** that **disambiguates requests** and allows you to get directly to the document from which the answer is taken.
- It processes information and **learns** from users' requests.
- Can be activated on **any topic** including Anti-Bribery, Related Parties and Market Abuse.
- Should the system fail to respond to the user's request it will be possible to request human **intervention** maximizing the user experience.



Virtual assistant 30/10/2017 at 17:19
 An act of corruption will be if any person requests, receives, accepts, gives or offers any kind of improper advantage in connection with a position, office or assignment.

Righi 30/10/2017 at 17:19
 How about corruption?



Benefits



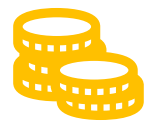
Simplifies the management and organization of documentation and communication with users.



It allows the company to have a **single controlled and shared version** of documents.



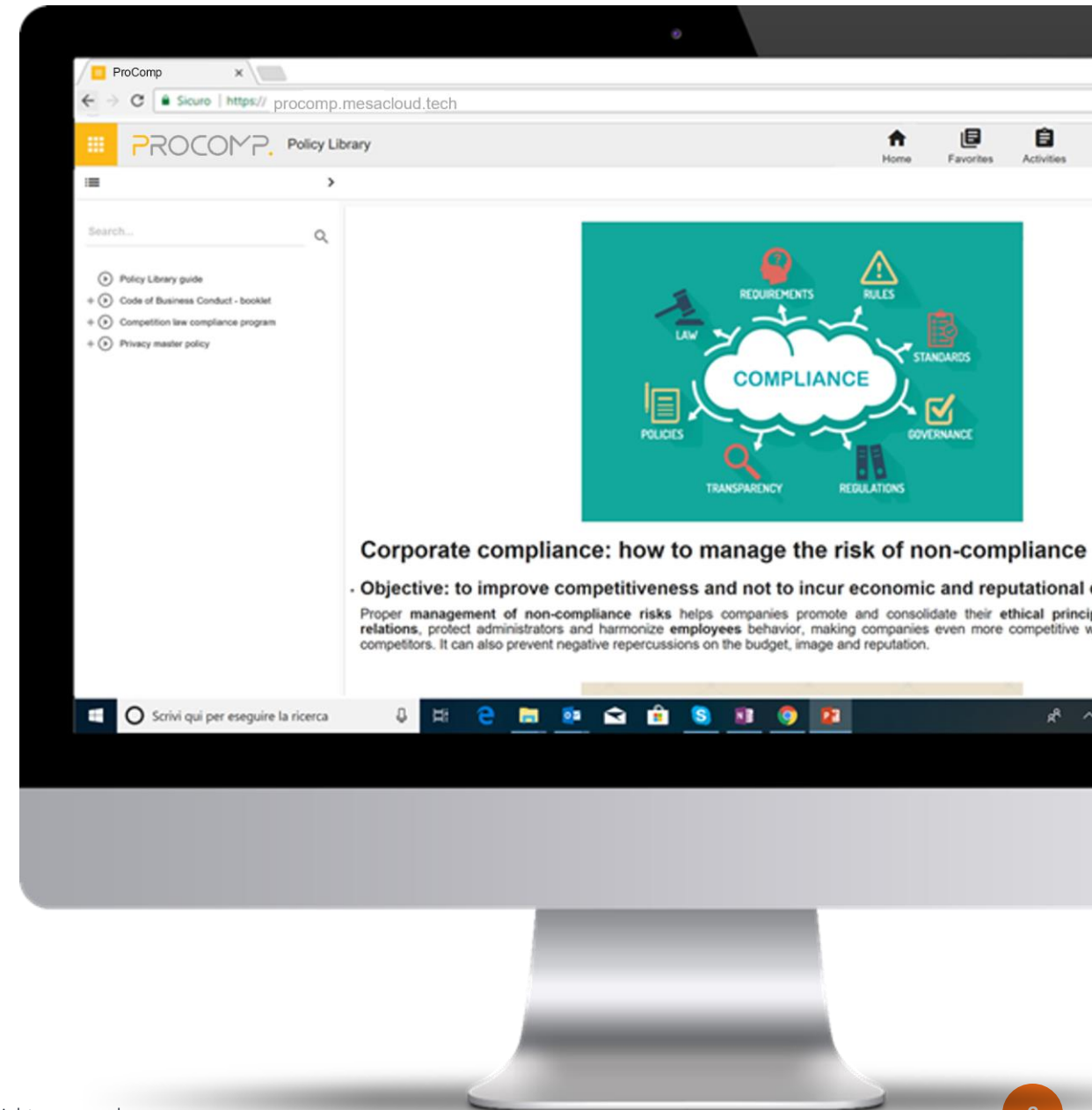
It is an item expendable with the authorities to demonstrate **effort and good faith of the company** in implementing the compliance program and to support **ISO 37001 certification**.



It **reduces the complexity** and consequently the cost of managing GRC programs.



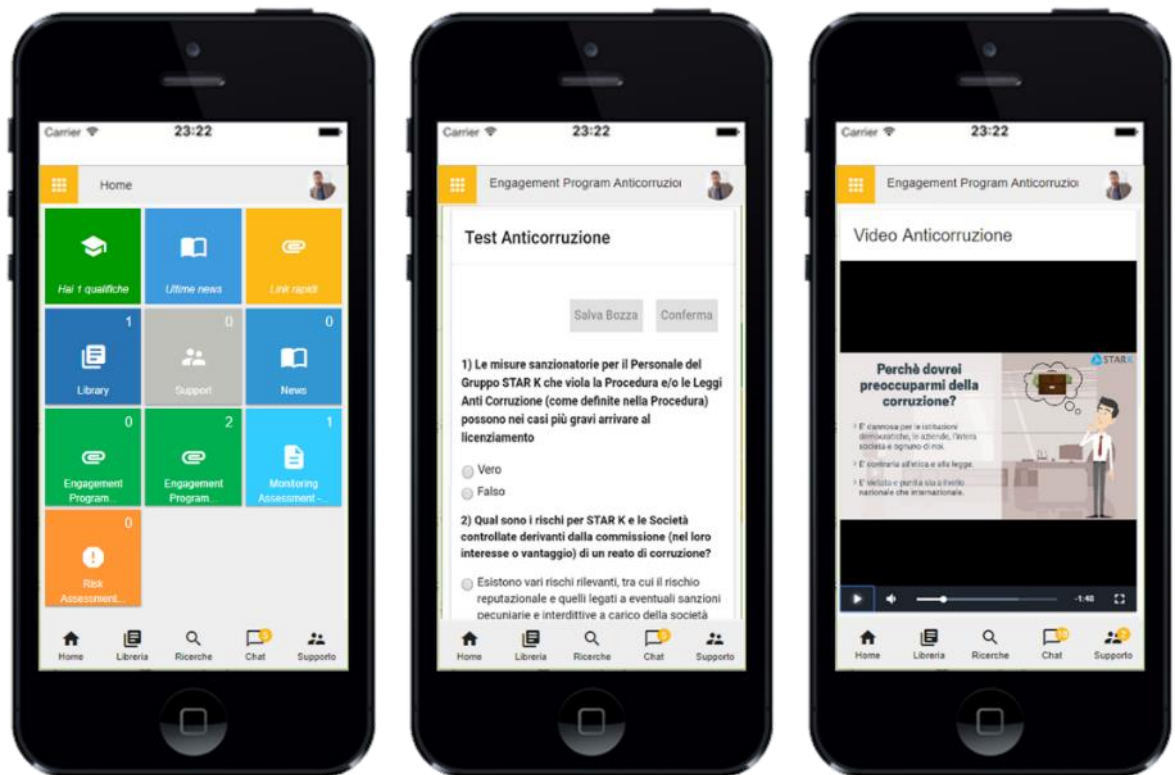
It can be **accessed** from any **device** and is usable in any **country**.



Employees and Third Parties Engagement

The application allows to **involve employees and third parties** on GRC issues with training, testing and online support.

- **On-demand** access at any time to individual areas of compliance and related content from the convenient "Library".
- **User profile** with notifications, activities/tasks to be performed and awards obtained.
- **Dedicated training** materials.
- **Online support and feedback** through a dedicated chat.



Benefits



It widens and updates the visibility on approval, dissemination, acceptance, training by functions, legal entity, business unit, processes, countries,...



It aligns employees with the company's overall vision, the "big picture".



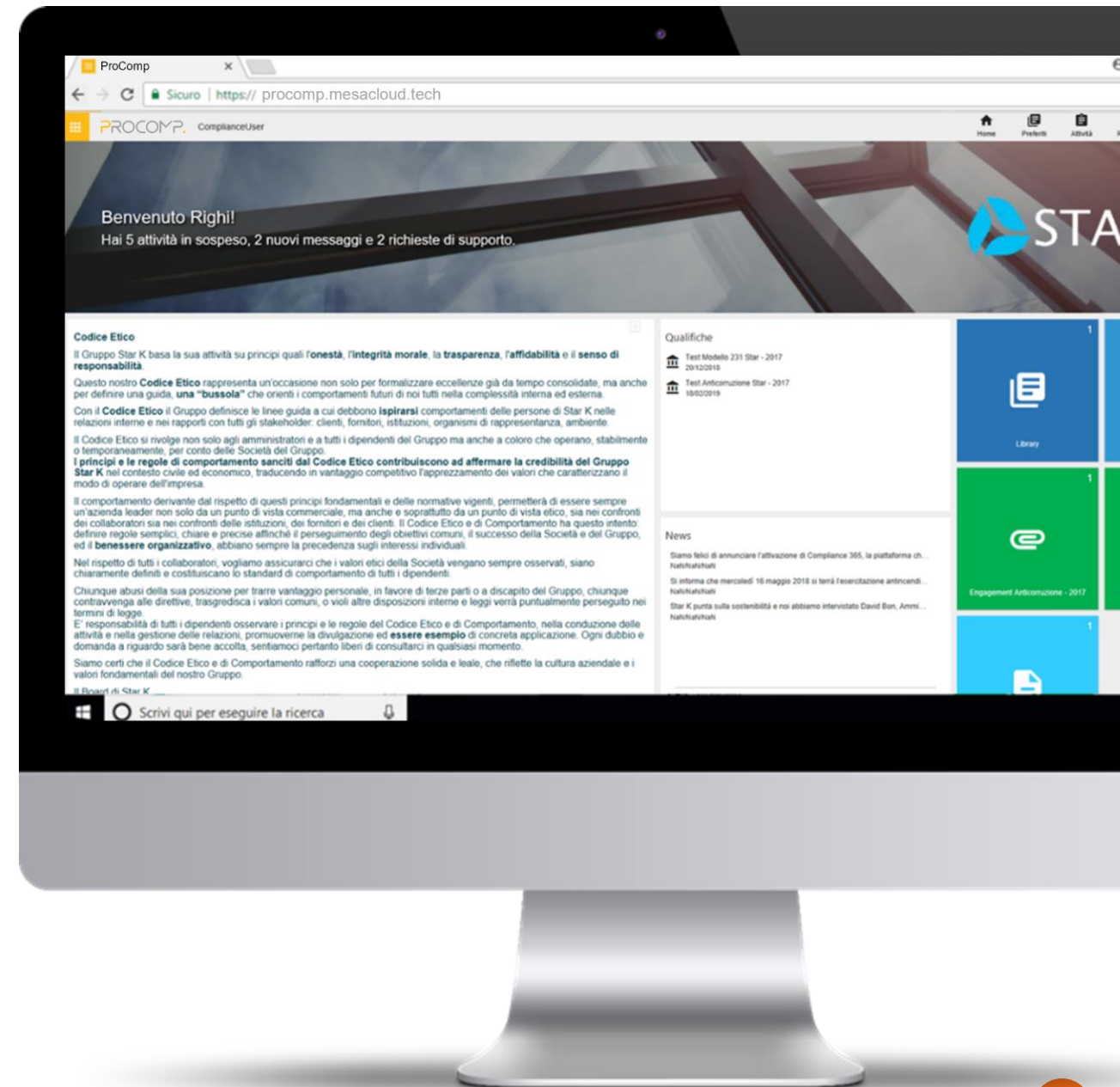
It reduces present and future skills shortages thanks to a simple and always available training tool.



It allows activities to be carried out anywhere, at any time and by everyone, even stakeholders without a company account.



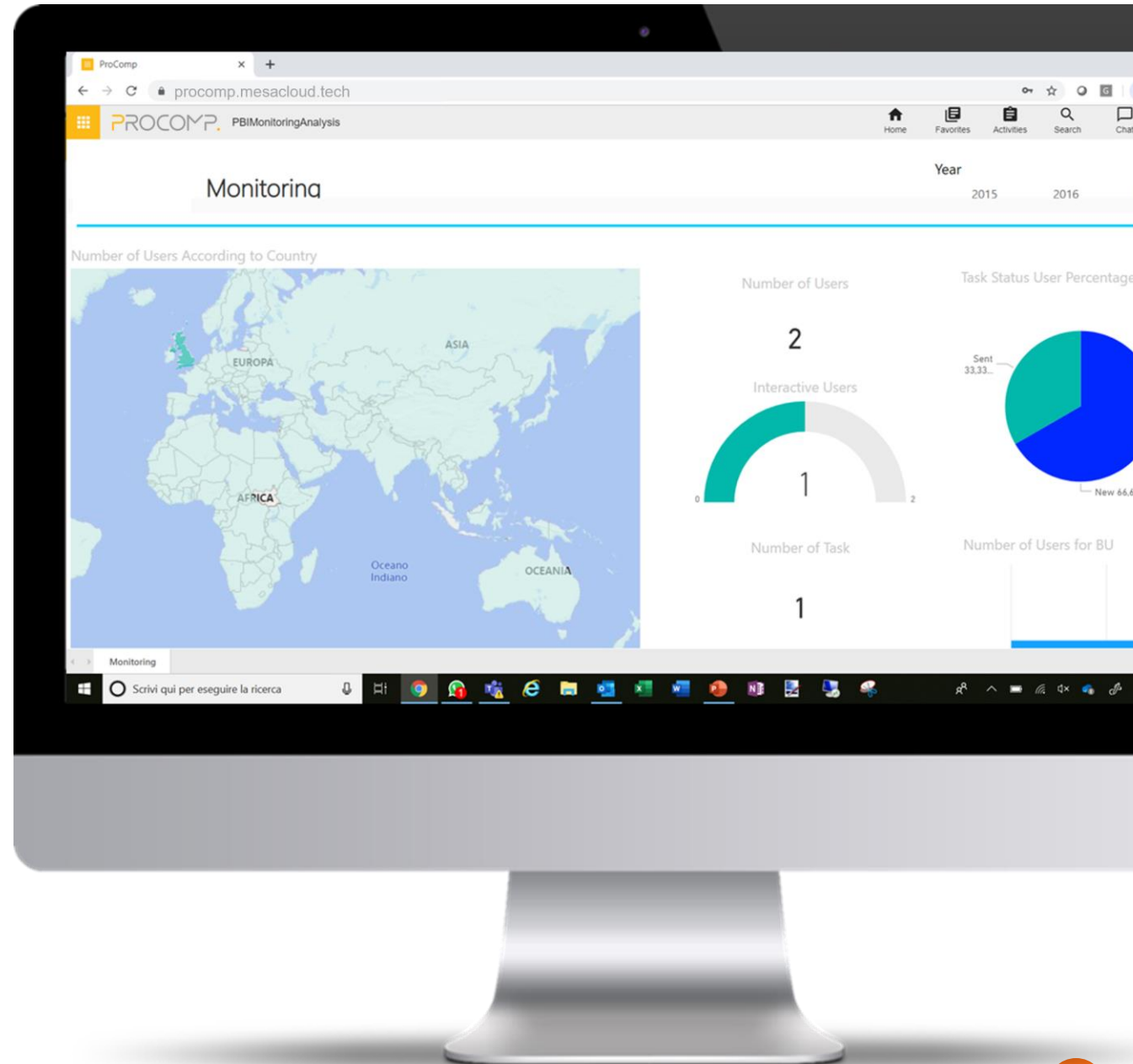
Expendable with the authorities to demonstrate the company's effort and good faith to effectively implement the compliance program and to support ISO 37001 certification.



Assessment & Monitoring

It monitors the **implementation status** of the compliance program, anywhere and at **anytime**.

- **Customized surveys** to monitor risks and the level of implementation of policies, procedures and other controls.
- It allows to **highlight strengths and weaknesses** of the compliance system and to analyze the situation in light of the main risks and the characteristics of the company's business.
- **Periodic certification** of the knowledge and correct application of compliance rules by key users.
- Provides **concrete suggestions** to address any shortcomings.



Benefits



It improves **visibility** with a wide range of statistics and comparisons (KPIs by functions, corporate bodies, legal entities, countries, etc.).



It **increase control** to highlight the gaps on which to target audit efforts and investment in training.



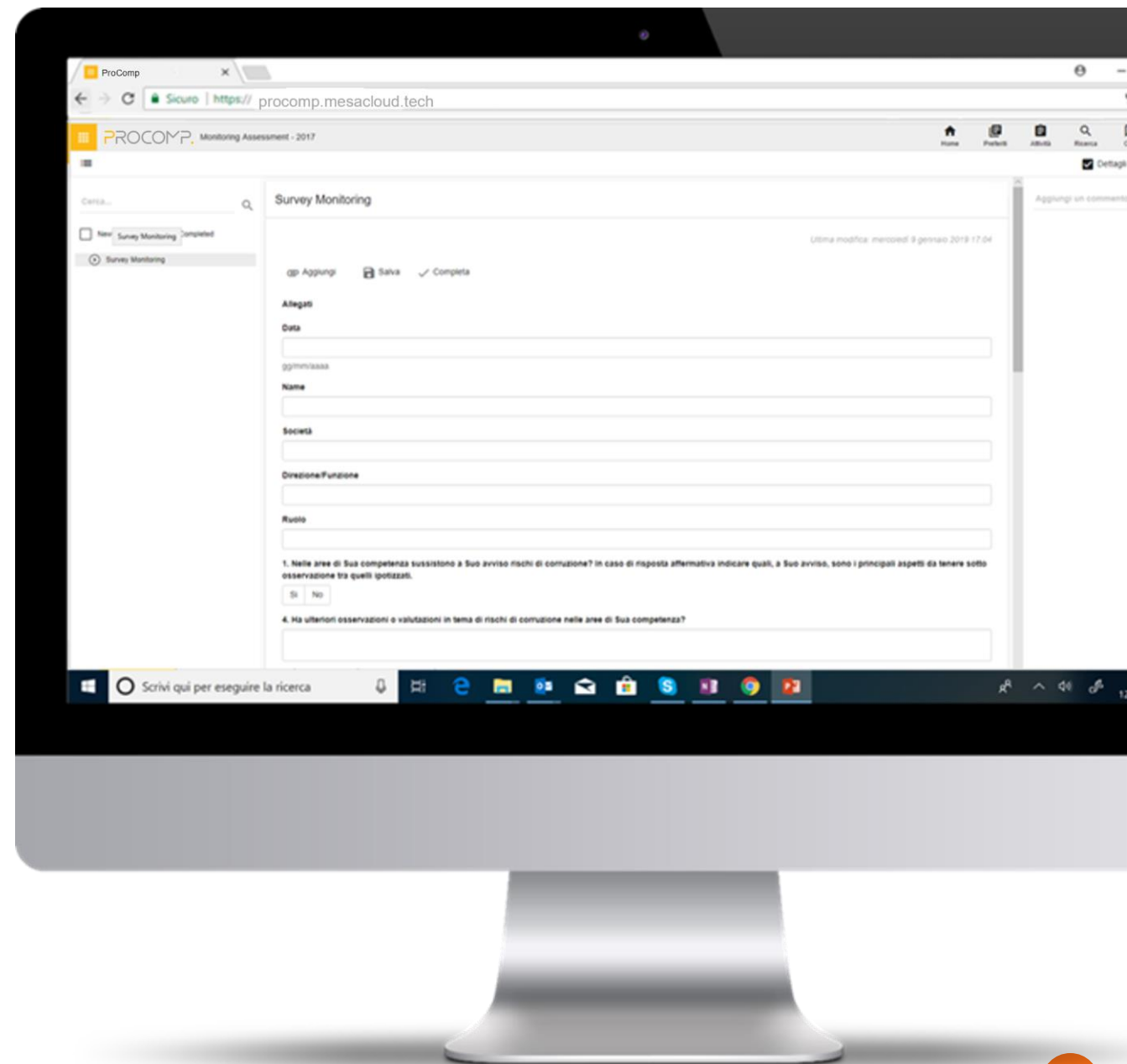
It makes it **easier to identify the risks and shortcomings** of the organization.



It is the most effective and efficient way to support the achievement of **ISO 37001** certification.



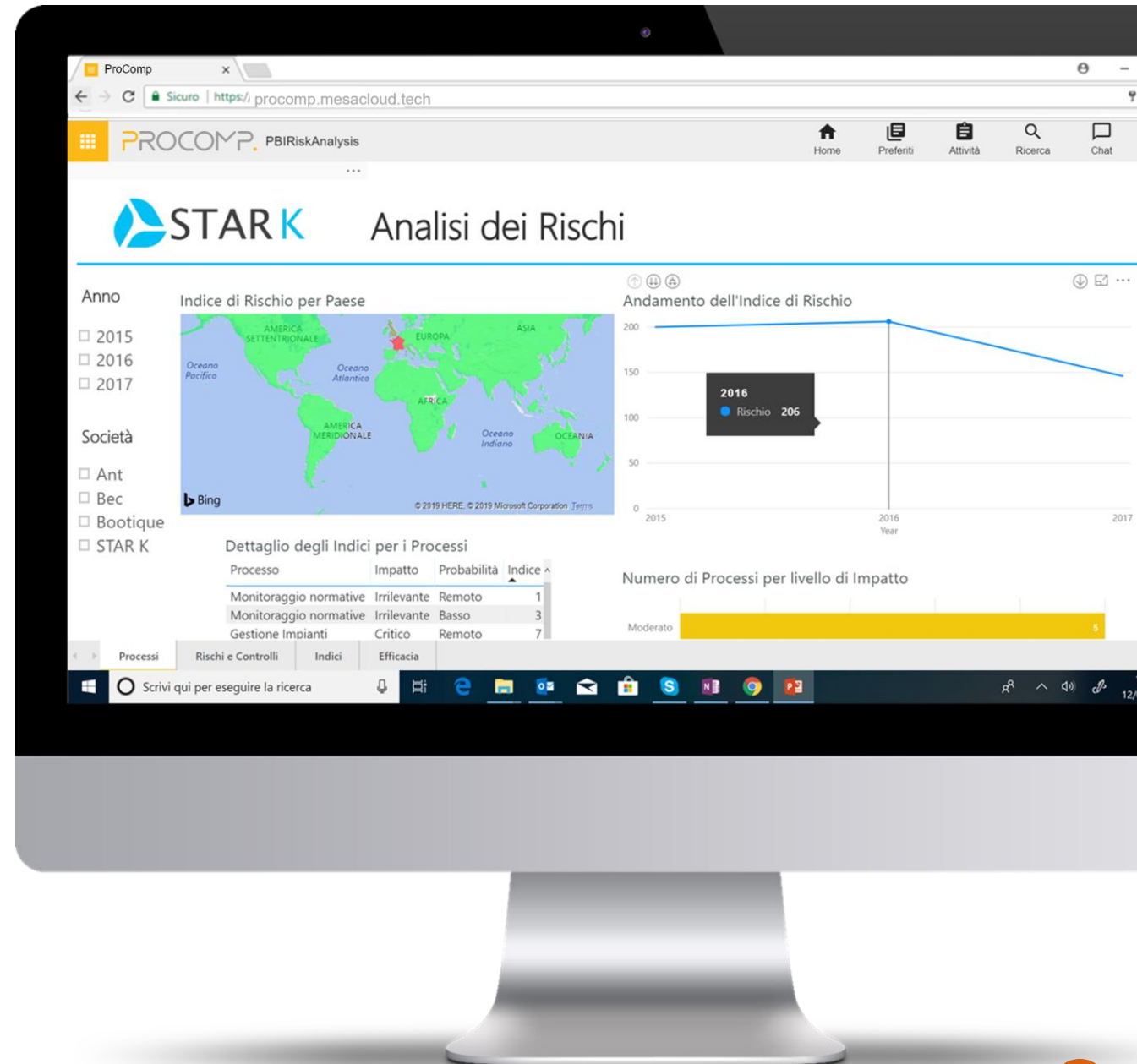
It **reduces compliance monitoring time and costs**.



Integrated Risk Management

Improves business decisions through integrated risk management.

- **Integrated** risk management in a **multi regulatory** environment.
- Activities based on **simple**, intuitive and fully customizable **workflows**.
- Methodological support in the assessment of **inherent** and **residual** risk.
- Allows you to manage the inventory of risk **mitigation safeguards** and monitor the actions of the **Remediation Plan**.
- Allows to control training and communication activities, also online and the Key Risk Indicated, "real time", through powerful, simple and immediate dashboards.



Benefits



It helps organizations to understand and manage the full range of risks (strategic, operational, financial, digital, etc.) that they face.



It improves the decision-making process and makes it more agile, thanks to an integrated vision of business risks.



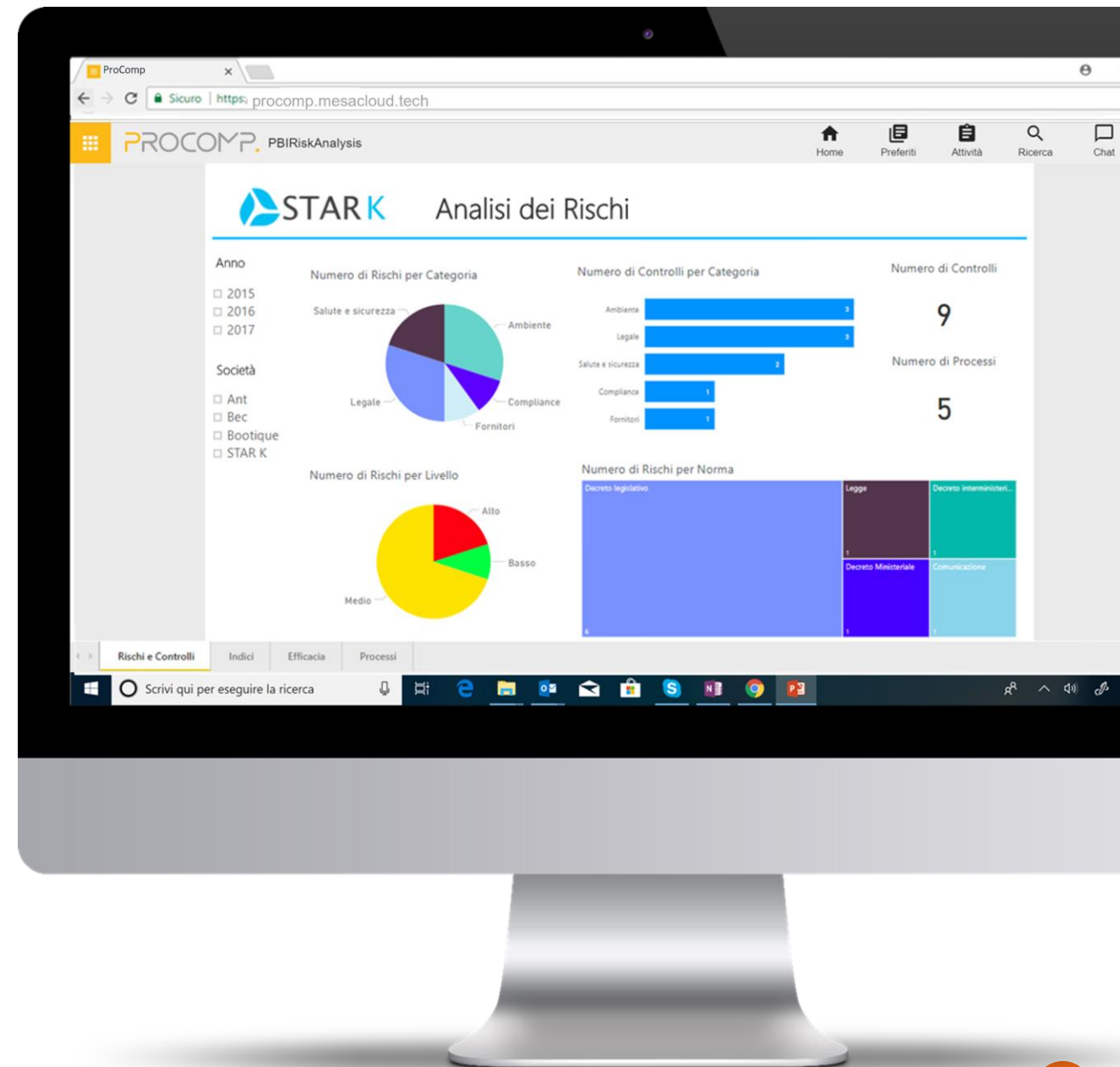
It allows risk management to be introduced throughout the organization.



Predictive risk indicators to prevent and reduce unforeseen events.



It improves operational efficiency by reducing assessment time and costs and improving the work of resources.



Internal Audit

The application supports Internal Audit **processes and activities**, improving strategies and reducing costs.

- It simplifies internal control activities, managing **the entire Internal Audit process and its phases**.
- It allows you to easily manage the **audit plan** thanks to data analytics.
- Manages the **planning and reporting** of all internal control activities.
- It organizes, manages and tracks **remediation and follow-up** activities quickly and easily.



The phases of the Internal Audit process

Benefits



It increases **visibility**, with different degrees of detail, traceability and minimization of risks due to manual and redundant activities.



Work **faster** and **more comfortable** thanks to the intuitive mobile interfaces.



Reduction of time and costs related to management and learning.



It guarantees **transparency and awareness** of audit activities, minimizing errors and inconsistencies, thanks to complete reporting.



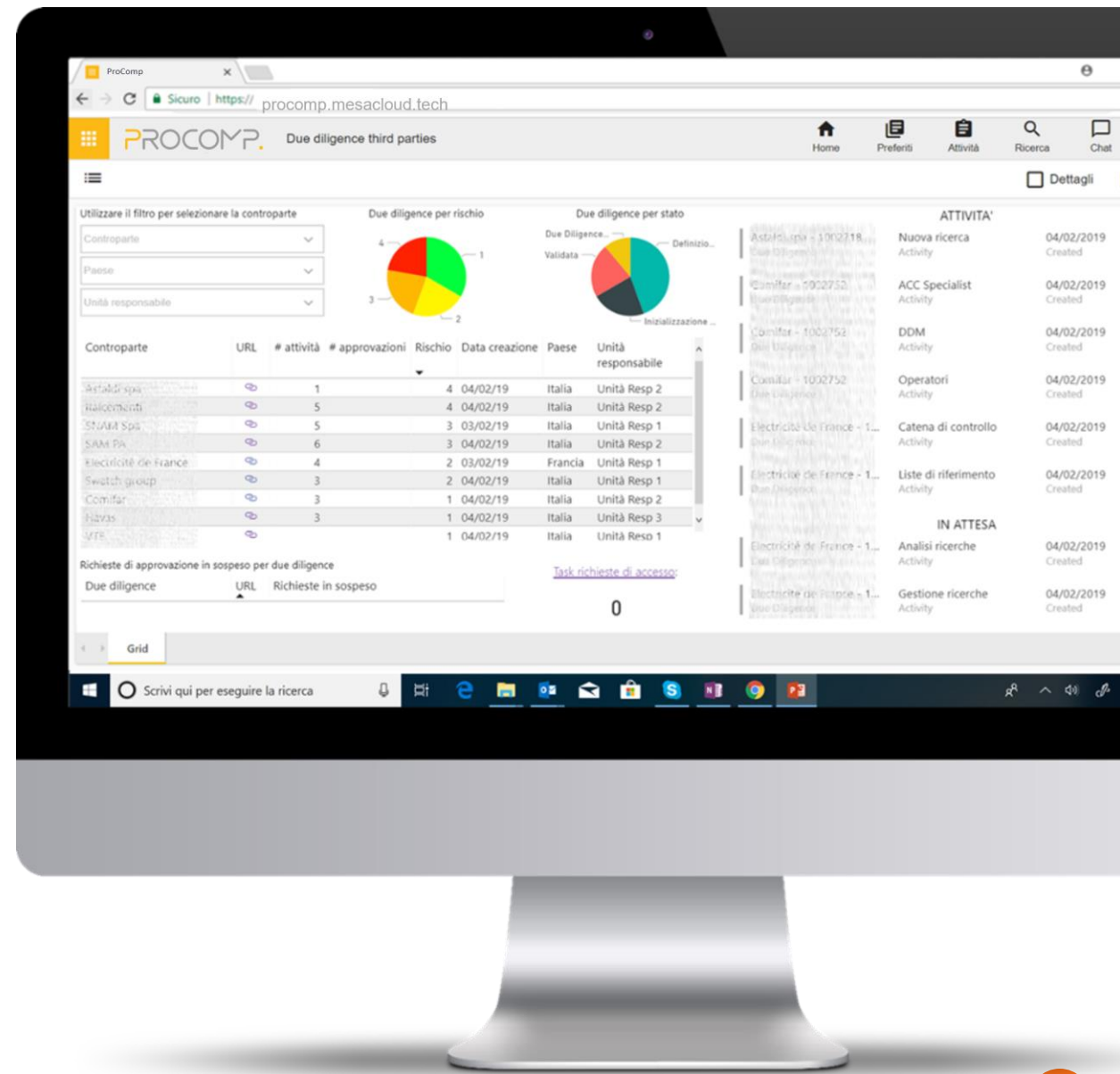
Simplifies management, coordination, execution and communication with Top Management.



Due Diligence & Adverse Media

Allows third party **due diligence** and management of **negative news** thanks to **Artificial Intelligence**.

- It allows stakeholders to be **involved** so that they can contribute to the analysis phase of the third party, **integrating** external providers and automatically managing research on **open sources**.
- Workflow **configurable** on the Client's needs.
- **Management** workflow articulated in: Onboarding of the third party by the business function; Due Diligence Phase 1; Due Diligence Phase 2.



Due Diligence & Adverse Media

It manages **adverse media** effectively thanks to the presence of **AI algorithms** capable of:

- process **thousands of news** with a high degree of accuracy
- re-elaborate data to identify news **sentiment**
- bypass the linguistic limits of text **content search** on the web
- define **keywords** about the organization or people involved
- take user **feedback** into account by refining searches.

The application can interface with external lists and providers and automates related searches on open sources to manage negative news.



Benefits



Minimizes risks due to third party onboarding.



It meets **regulatory requirements**, ensures standards of compliance and helps make **more informed decisions**.



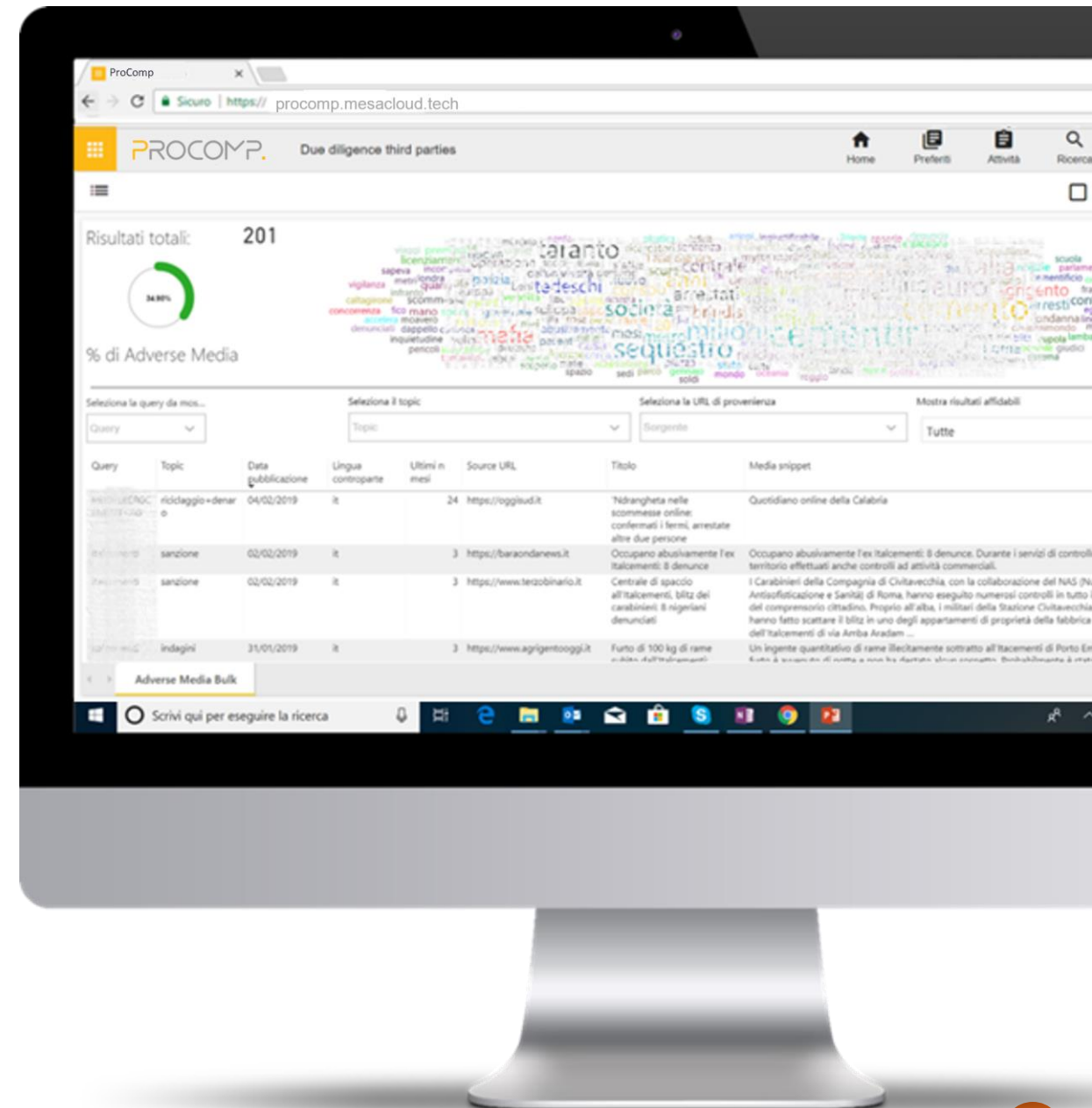
It makes it possible to effectively defend the **company's reputation** thanks to the potential of AI.



It is an expendable element with the authorities to demonstrate the **company's effort and good faith** in implementing the compliance program and to support **ISO 37001 certification**.



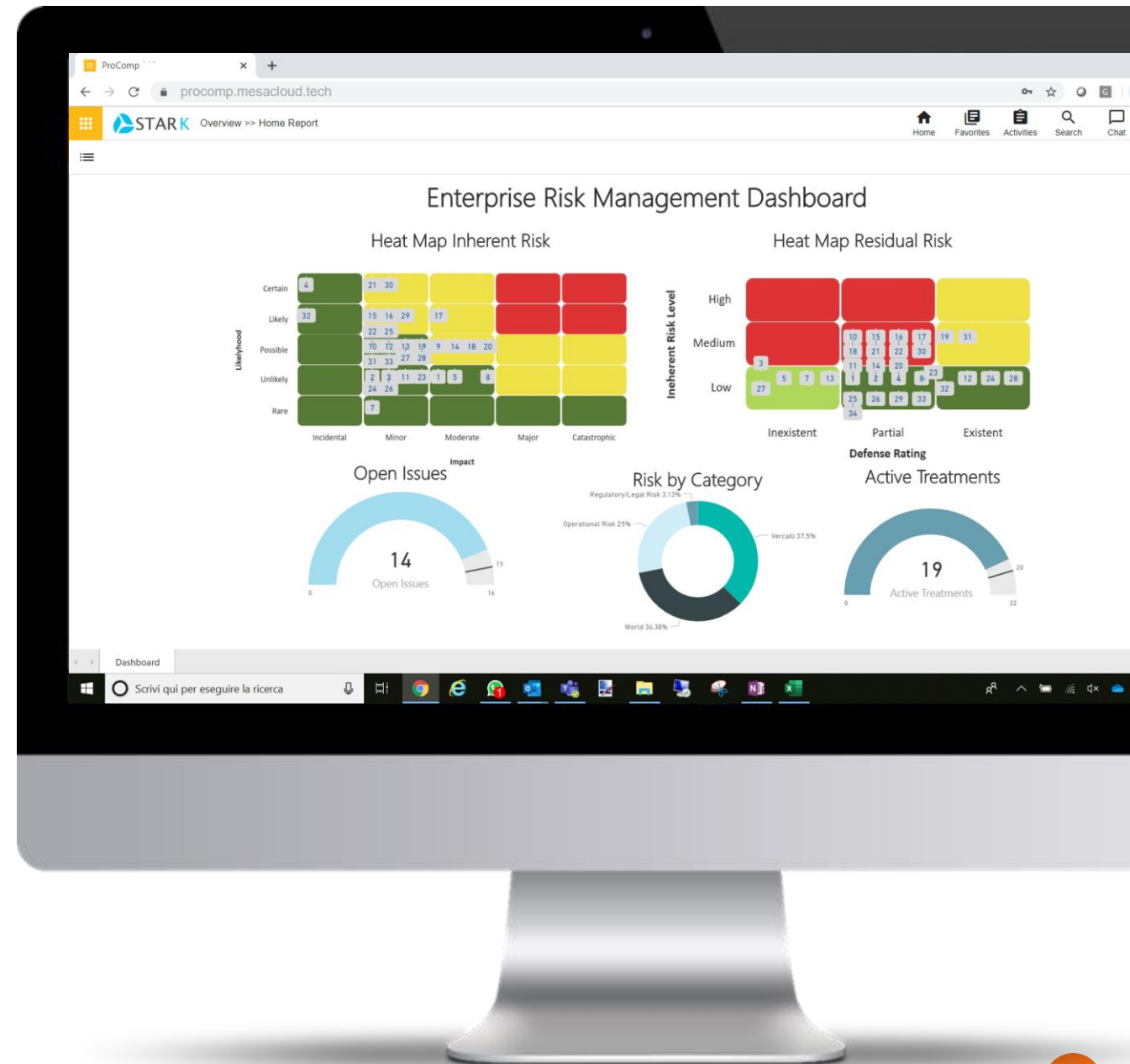
It allows you to **reach** third parties **anywhere** with an internet connection without forcing the company to create **company credentials**.



ERM

Allows you to manage **business risks** through the **ERM standard**, unifying your risk management activities.

- Allows you to plan and control all **risk management** activities related to business objectives according to the ERM standard.
- Enables the **ownership** of risk by management, the involvement of all the people at various levels in the process, and the diffusion of the risk culture.
- It manages the planning and control of **improvement**, **prevention** and **mitigation** actions.
- It allows the development of **survey** and **audit** activities and risk **self-assessment** and monitoring programmes.
- It generates **dashboards** and **statistics** to support the overall management of the system.



Benefits



Centralizes and simplifies the risk management program.



It improves the efficiency of the whole process, optimizing the allocation of resources to mitigate risk.



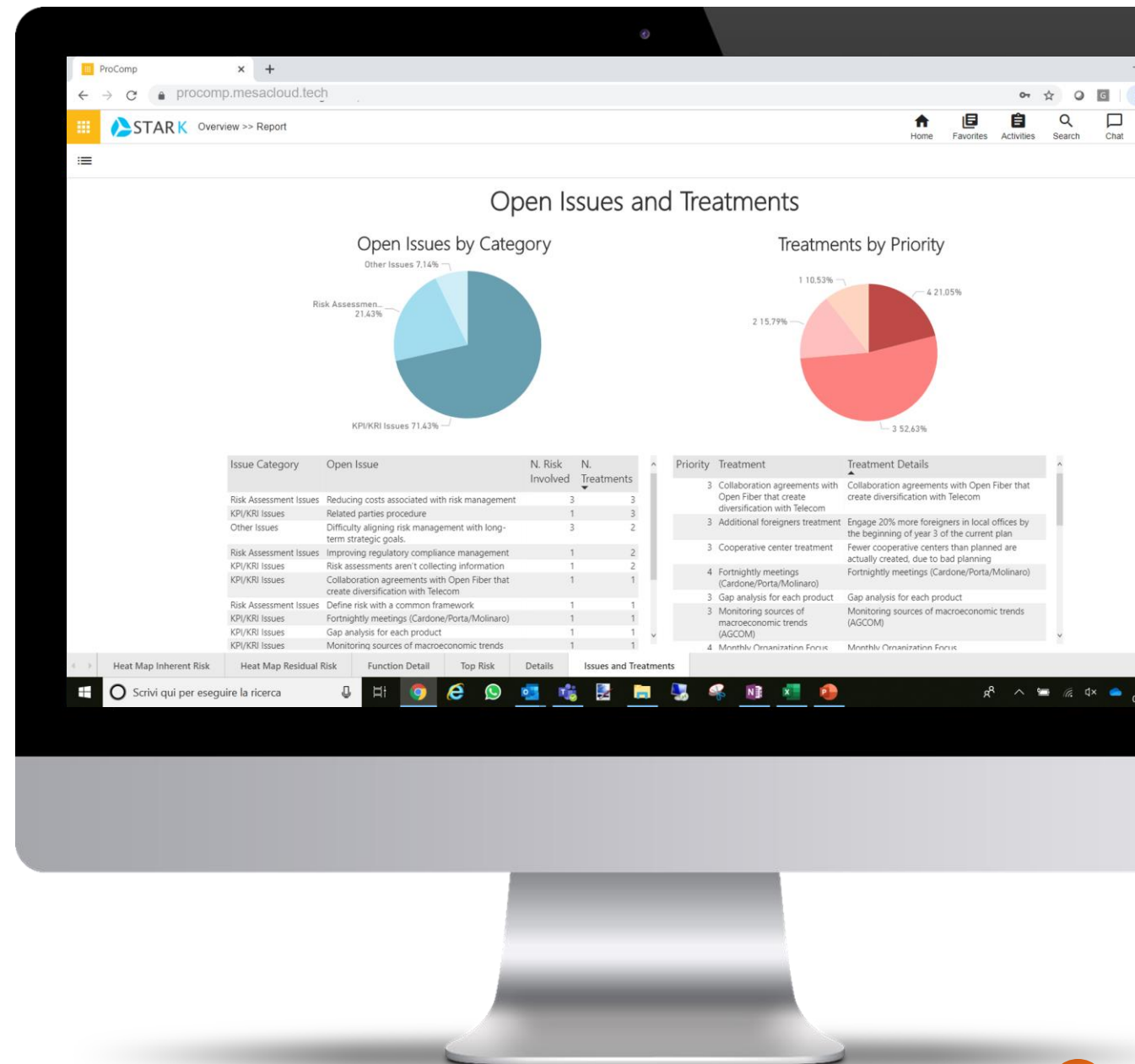
Optimize the risk program by tracking and monitoring problems encountered during risk assessments and control audits.



Improves cost management and visibility of risks related to operational activities.

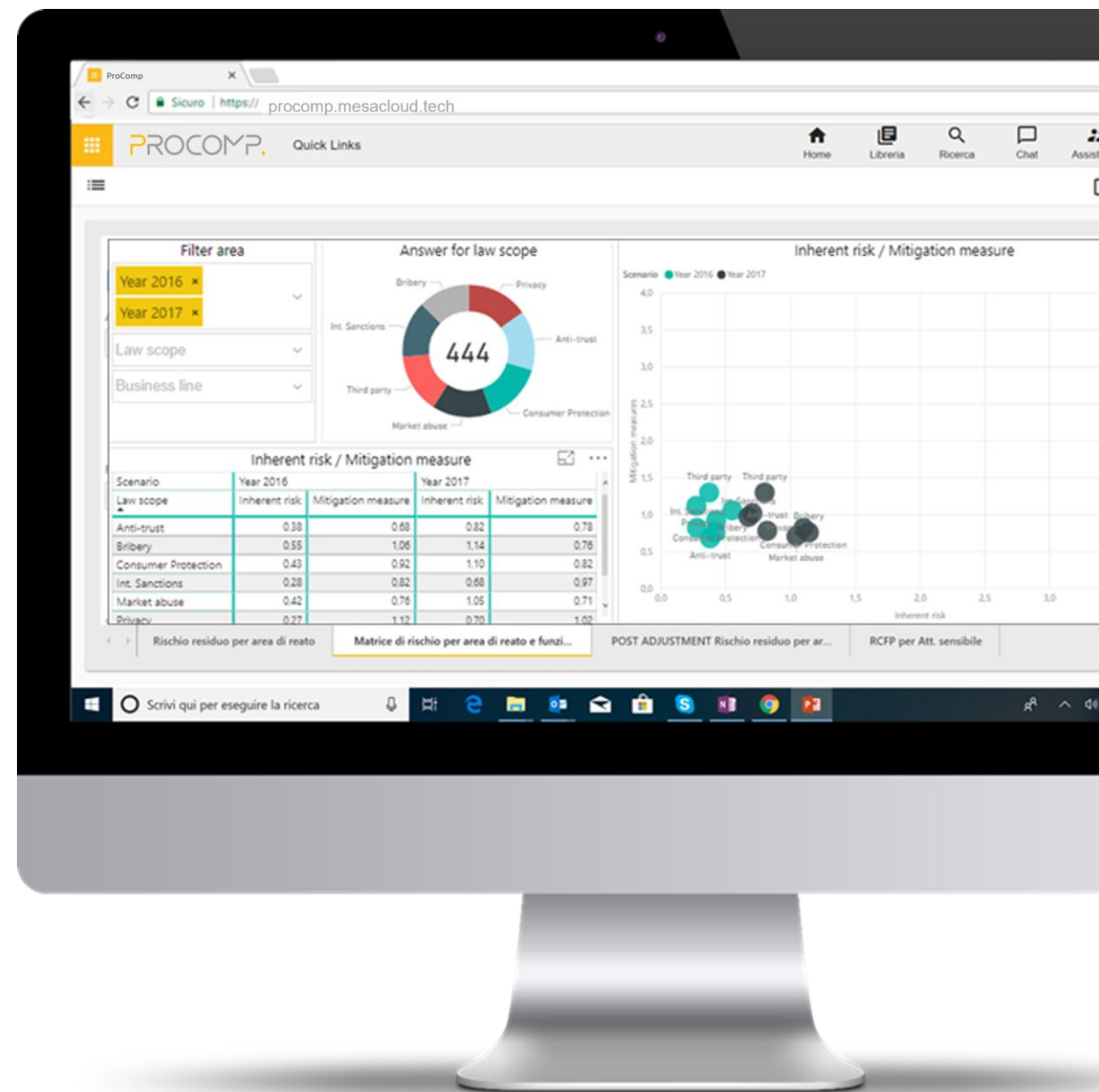


Provides real-time information on risk management with comprehensive and advanced reports and dashboards.



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- **Regulatory library** with updated texts on legislation, applicable case law, catalogue of predicate offences (with regulatory reference, date of commencement of application and sanctions).
- **Risk Assessment** for sensitive activities and business processes calculation of the **inherent risk** and of the control measures to mitigate the risk for the evaluation of the **residual risk**.
- The **Report** allows, at each date, to view: the legislation in force, and in particular the catalogue of predicate offences; the **version of Model 231** and other **internal controls** in force; the **risk assessment of the reference period**.
- Integrated management of **OdV activities** including: **planning** and control of OdV activities; document archive management; **information flows** with the related responsibilities/distribution lists, periodicity and deadlines, **automatic alerts**; **budgeting and reporting of costs** and resources.



Benefits



It increases **visibility**, with different degrees of detail, traceability and minimization of risks due to manual and redundant activities.



Simplifies adaptation and updating to the requirements of 231.



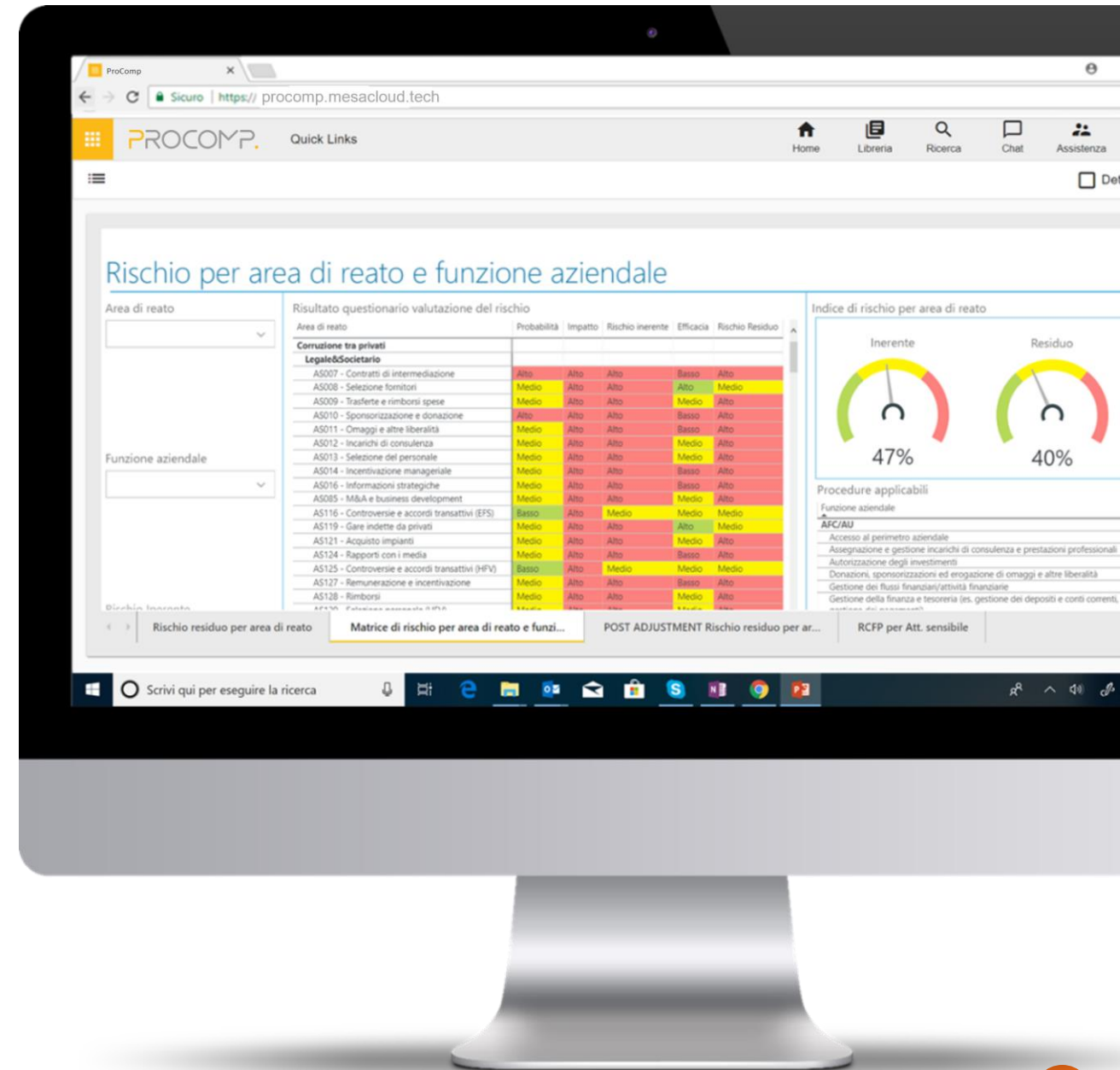
It allows you to **be always updated** with regulatory changes.



It **increases control** over the processes, allowing the related **costs to be reduced**.



It improves the management of activities **by optimizing time and managing deadlines**.



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Based on the COSO Framework, PROCOMP 262 allows you to streamline internal control activities over the executive reporting process into six steps:

- **Entity Level Control**, where all entities present, such as budget ratios, procedures, and activities, are defined and updated
- Identification of the Business Units involved in the **Scoping** phase
- The **Drawing of the processes** allows to identify all the processes at risk, the controls applied to them and so build, automatically, the RCA matrix
- Evaluation of **TOD**, through easily customizable web forms and customizable approval workflows
- Evaluation of the **TOE**, with automatic references to the evaluations inserted in the previous phases
- Analysis and **constant monitoring** of residual risk thanks to reports updated in real time



Benefits



Optimizes the timeframe for collecting information from testing.



Reduces the risk of errors with multi-tiered approval workflow.



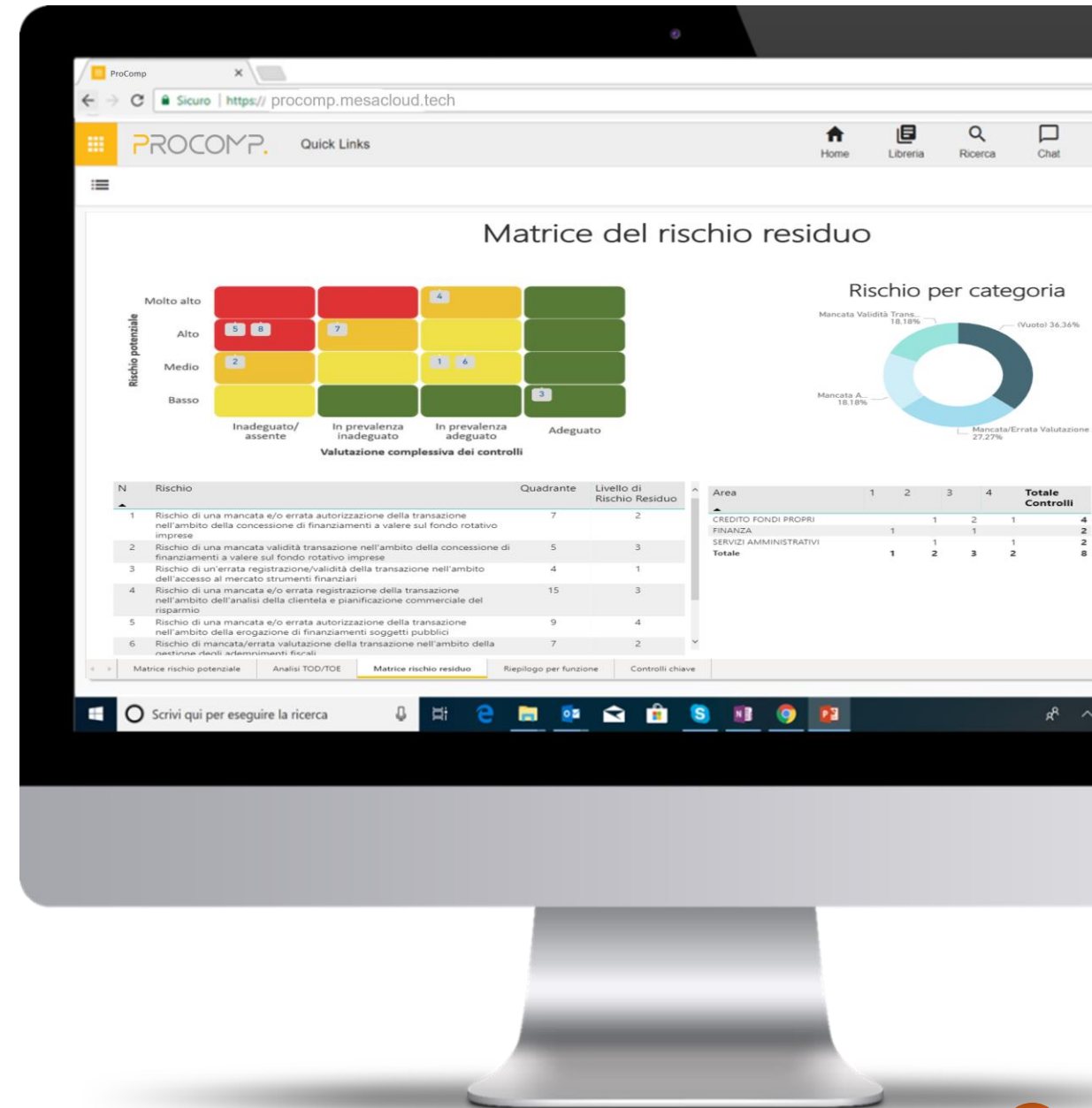
Provides immediate visualization of all controlled processes and improves control over risk activities.



Improves communication with top management through reporting that can be accessed within the app via mobile devices.



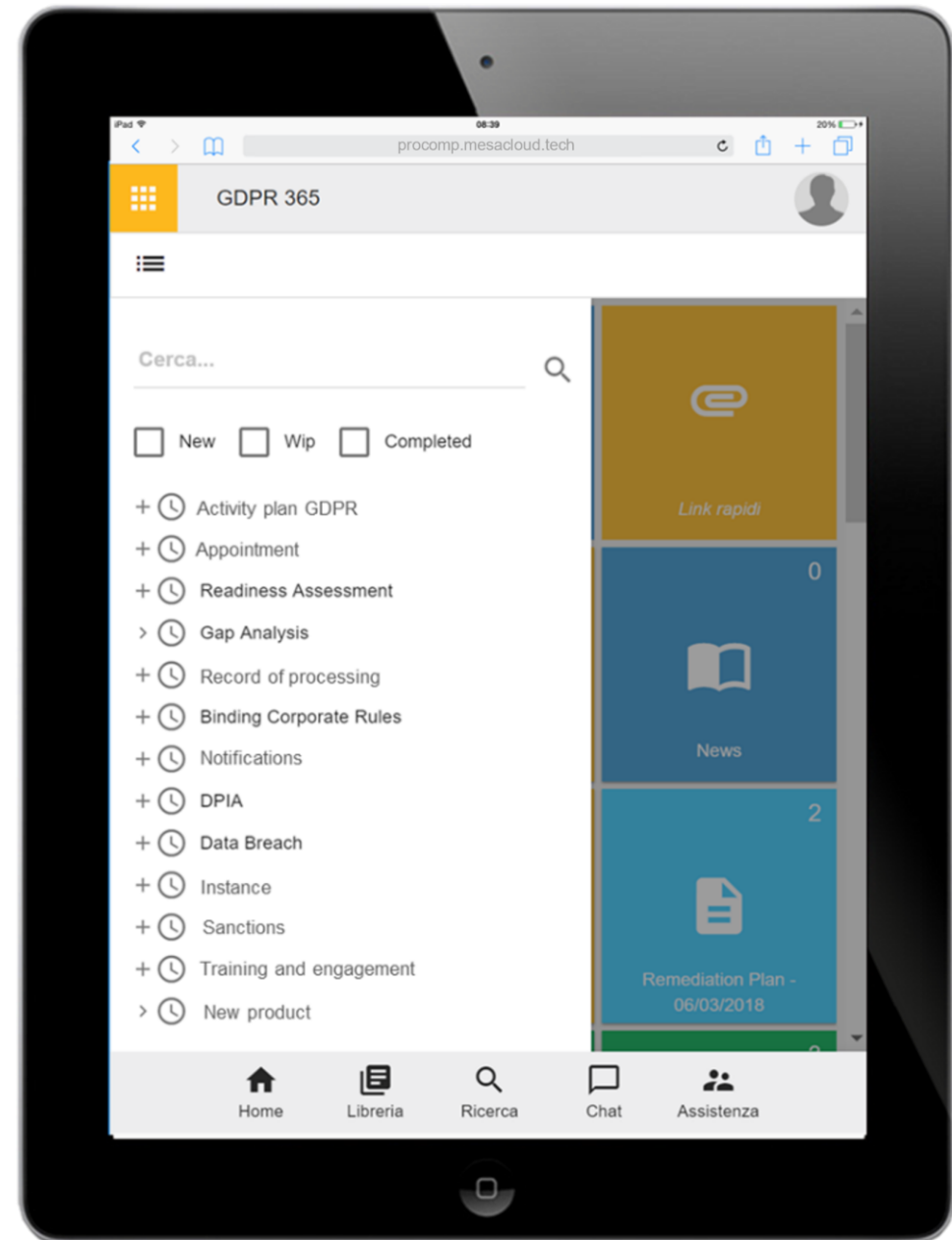
Automates standard operations while reducing costs.



GDPR & PRIVACY

Enables rapid compliance with the obligations and requirements of the European Privacy Regulation.

- It includes: **readiness assessment, gap analysis, treatment log, notification management, DPIA, breach management**, and even **training** and information to employees and third parties through targeted **engagement** programs.
- **Pre-configured templates** and workflows that can be easily customized through our intuitive and flexible interface.
- It allows you to benefit from **concrete suggestions** to address any shortcomings and highlight strengths and weaknesses of the system, analyzing the situation in light of the main risks, treatments and characteristics of the company's business.



Benefits



Great ease of use by both users and managers thanks to the integration with Microsoft technologies.



It allows to visualize the different risks and treatments and the "priorities" for processes, users, companies, business units and countries with an **objective overview** never had before.



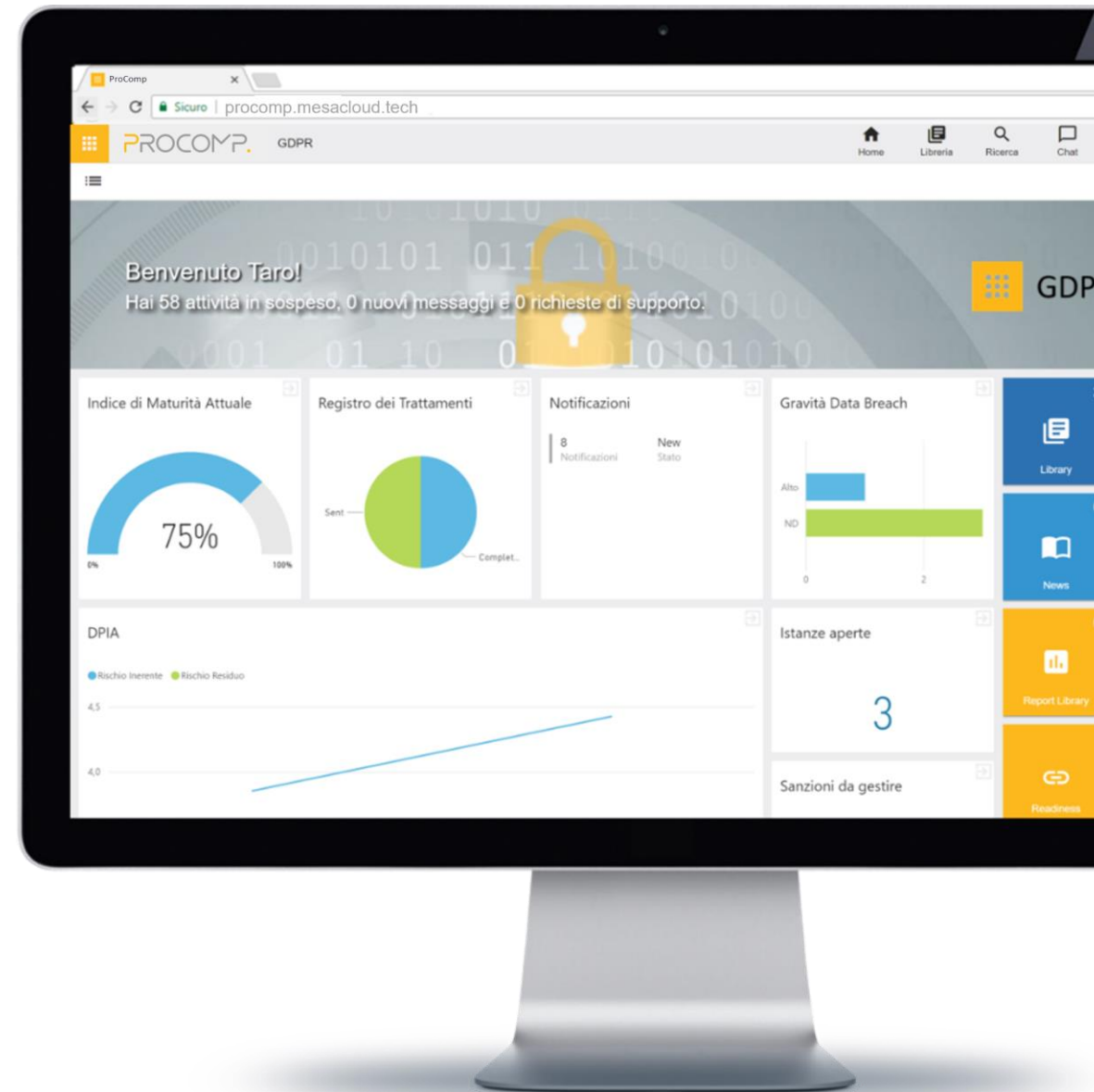
It allows continuous control through powerful, simple and immediate **dashboards**.



It facilitates **the tracking of risks** related to data processing.



Automates **internal sharing**.



WHY CHOOSE PROCOMP. OUR UNIQUE SELLING PROPOSITION

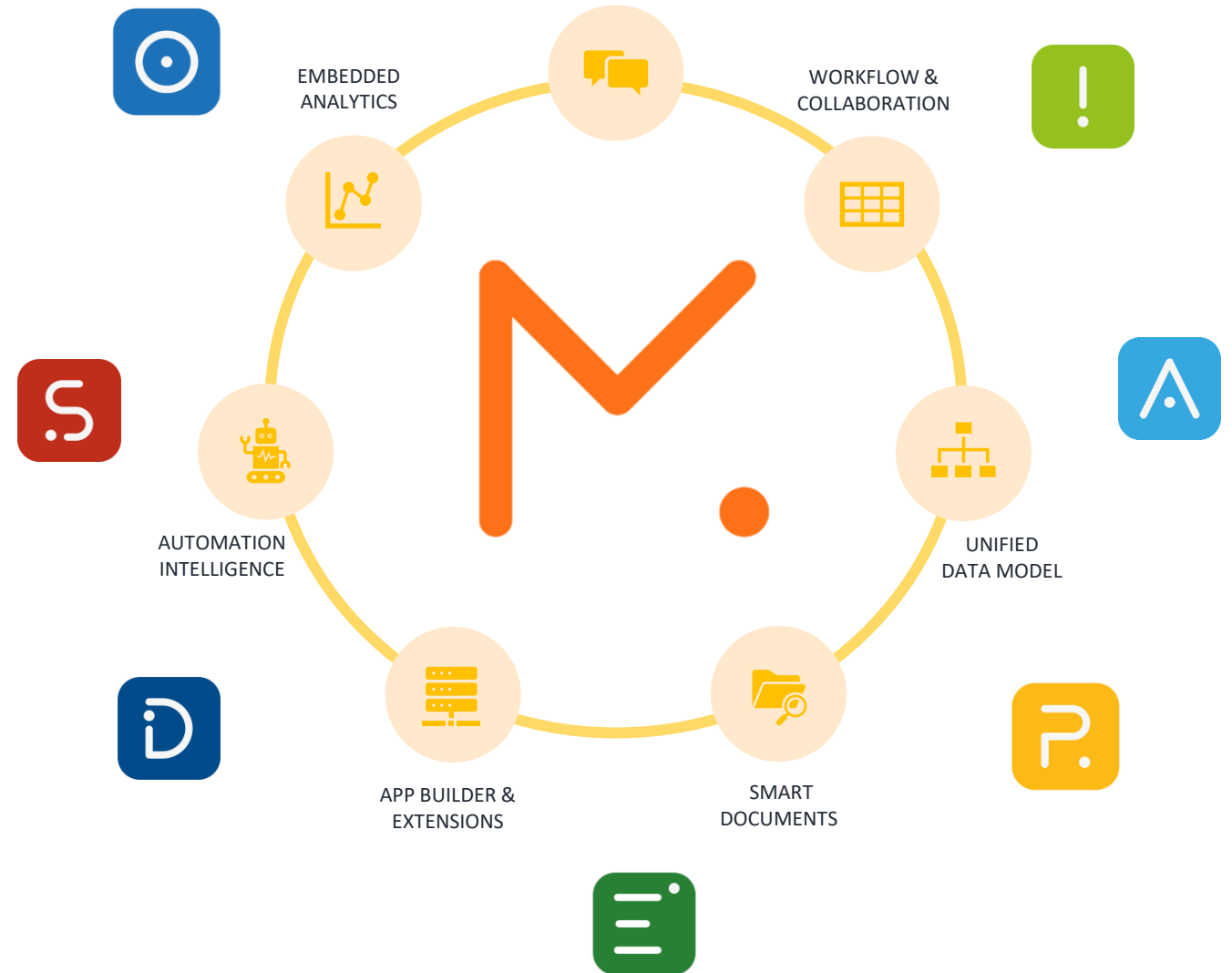


- ✓ Complete, highly flexible and easily customizable platform.
- ✓ Unique product to manage all areas of compliance and risk management with multiple ready-made modules (horizontal and vertical).
- ✓ Native integration between modules and possibility of dialogue with external systems.
- ✓ A legally required topic that is therefore sensitive in companies, digitization can reduce costs.
- ✓ PROCOMP is based on the MESA digital transformation platform.

EASILY DESIGN YOUR DIGITAL TRANSFORMATION

We have developed MESA, an innovative technology that enables **digital transformation** by **intelligently automating processes** by combining a complex BPM engine with **simulative and intelligent algorithms** integrating **collaborative document production technologies** into a **single low-code solution** and **integrated with Microsoft Teams, Office and PowerPlatform technologies**.

Plug & play digital transformation **solutions** for your **business processes** are available on this platform.



BUSINESS SOLUTIONS



Impact supports corporate **sustainability** in accordance with the most important global standards (**GRI Certified**).

IMPACT.



Analitica manages processes and performances of the **finance** team, a full support for the **CFO** and all the business users.

ANALITICA.



Procomp guides the **Governance Risk & Compliance** through the «Digital Transformation» improving quality, productivity and efficiency.

PROCOMP.



It allows you to create "**engagement**," manage documentation **smartly**, and **manage** resilience and **continuity** during emergencies.

ENGAGE.



To fully manage **cyber & IT security** while ensuring compliance with the **highest applicable standards**.

DEFENCE.



Skills allows to support **synchronous and asynchronous mixed training** through a guided, **dynamic** and personalized study path.

SKILLS.



Optimize allows to **optimize** the **functioning** of the **product** or the **real process** through **digital simulation**.

OPTIMIZE.

SOME CLIENTS



Dompé



SHOW CASE





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2021

