

Technology Excellence for Your Fee and Commission Management



Asset Management

- Institutional Fee Billing
- Distribution Fee Management



Wealth Management

- Third-party Distribution
- External Asset Management
- Client Fee Charging
- Deferred Compensation Scheme Fees



Securities Services

- Service Fee Billing
- Distribution Support and Platform Commissions
- Third-party Commission Management
- Expense Management
- Fee and Commission "White Labelling"



Capital Markets

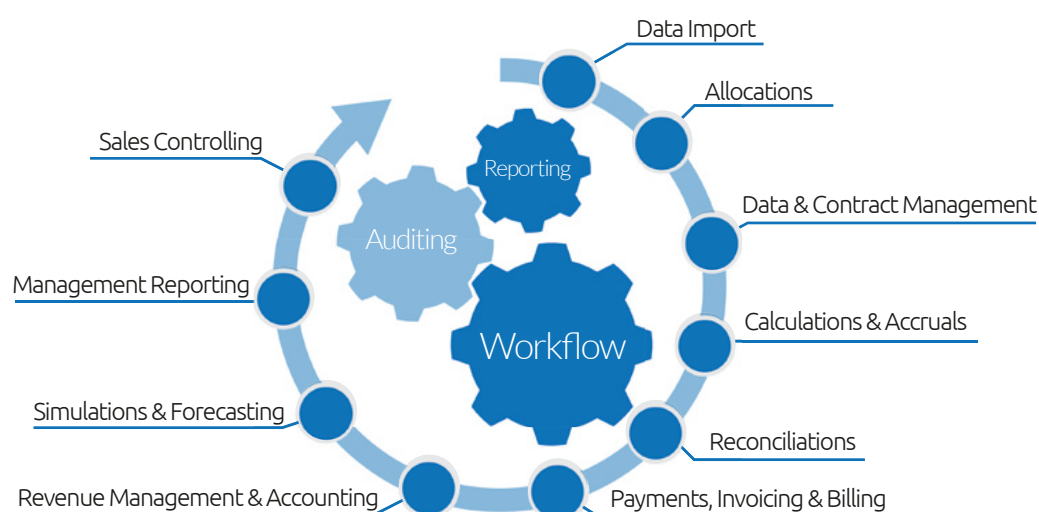
- Trade Fee Management
- Exchange Fee Management
- Intermediary Fees and Commissions
- Expense Management
- Execution and Clearing Commission Management



Insurance

- Distribution Commission Management
- Retirement Services Fees
- Pension Fund Administration Fees

Quartal Financial Solutions is the market-leading provider of software solutions for fee and commission management. Founded in Zurich (Switzerland) in 1999 and with offices in London (United Kingdom) and Frankfurt (Germany), we sit in the heart of the global financial community and are fully up to speed with latest global regulations and industry trends. Quartal is exclusively focused on complex revenue, expense, fee and commission management and works with leading Asset Managers, Banks, Brokers, Custodians, Fund Administrators, Insurance Companies and Transfer Agents worldwide.



Quartal's flagship products - Quartal COMMISSION and Quartal FEE MANAGER - set new technology standards and are proudly serving top brands in the Asset Management, Wealth Management, Securities Servicing, Capital Markets and Insurance industry segments. Quartal's products are cloud-ready and enable the automation of complex calculation, payment and invoicing processes along with sophisticated accrual management, reconciliation, budgeting, expense, contract and workflow management, modelling, forecasting and high-end reporting capabilities.

Client Benefits

Increased Revenues

Reduce or avoid revenue leakage, optimize fee schedules, enforce revenue and discount policies

Reduced Costs

Reduce operational costs, reduce TCO, increase automation, efficiency and STP levels, reduce cost of sales, optimize agreements

Reduced Risks

Improve information accuracy, comply with regulations and internal rules, increase STP and workflow control

Improved Transparency

Improve reporting and forecasting, get accounting right, enable scenario analysis and modelling

Improved Service Quality

Faster and more accurate payments and invoicing, custom reporting, client self-service web portal



Quartal COMMISSION

Your top-class solution for commission management and sales controlling

Quartal COMMISSION is a recognised leading software solution to automate your calculation, allocation and reconciliation of distribution commissions and sales cost. The system offers a flexible and innovative solution for commission management with a calculation and processing engine specifically designed and configured for all aspects of commission and retrocession management, allowing for rapid, reliable and transparent data processing, calculations and real-time reporting. As Quartal FEE MANAGER, Quartal COMMISSION benefits from great modularity that allows every single client to have a solution tailored to their specific needs.

- Trailer fees
- Rebates
- Distribution fees
- CDSC (deferred sales charges)
- Commissions
- Kickbacks
- Finder's fees
- 12b1-fees



Quartal FEE MANAGER

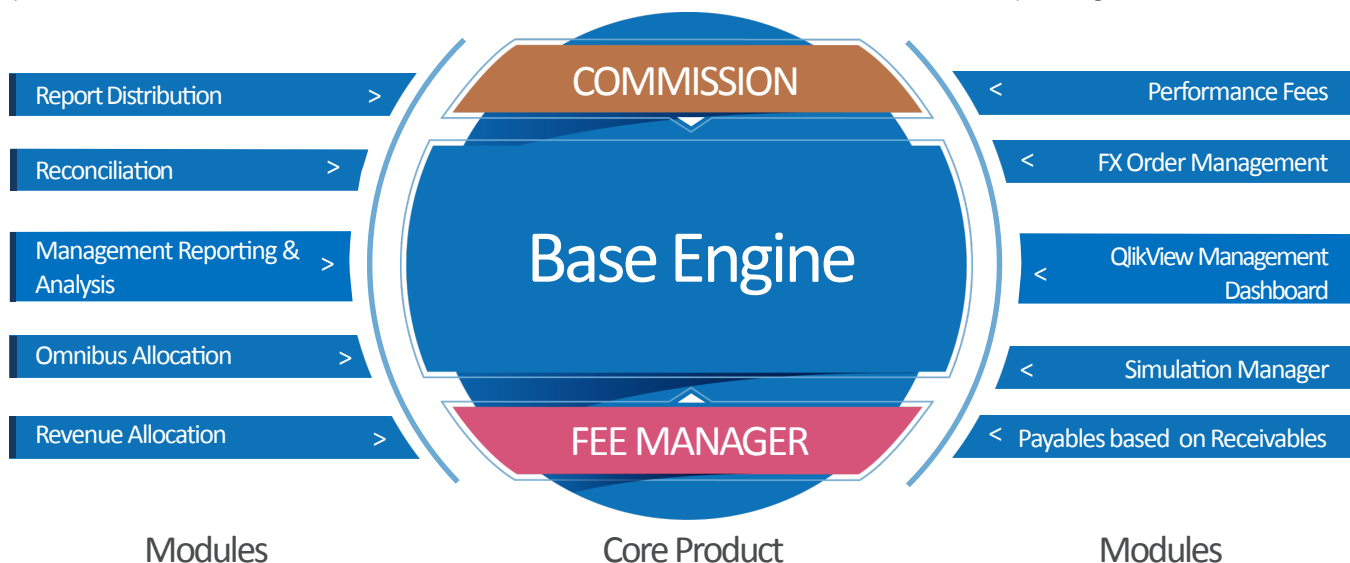
Your best solution for service fee and revenue management

Quartal FEE MANAGER is a recognised leading software solution to automate your fee billing and revenue management, a one-step solution that will automate everything from calculation, to invoicing, all the way through to reporting. The system offers a flexible and innovative solution for fee, revenue and expense management with a calculation and processing engine specifically designed and configured for all aspects of billing and revenue management, allowing for rapid, reliable and transparent data processing and calculations along with a comprehensive suite of pre-defined reports presenting actionable data through dashboards, tables and charts.

- Management fees
- Portfolio fees
- Performance fees
- Administration fees
- Custody fees
- Transaction fees
- Trade fees
- Broker fees

Product Capabilities & Features:

- Single global system for invoices, fees, commissions & rebates that is scalable to support growth
- Automated calculation of receivable and payable fees and commissions
- Customisable solution able to handle any rule, condition, exclusion or exception, inc. flexible tariff and discount management
- Automated contract management, standardisation of agreements and revenue/expense policies, inc. enforcement and control of revenue and discount policies
- Timely payments, accurate and fast billing to reduce monetisation cycles
- Automated billing workflows and controlling reports
- Standard interfaces to major custodians, CRM, banking, portfolio management and accounting systems
- Automated allocations reconciliation of transactions, holdings and/or assets
- Automated calculation of accruals and actuals, generation of accounting entries
- Automated layout reporting and sophisticated dashboard and KPI reporting



Quartal's product components are cloud-ready and based on a single Base Engine, allowing its clients to personalise their solution through a number of value-adding modules.

