

Asset Under Management Dashboard

About Us:

UB Technology Innovations, Inc. (UBTI) is a leading global technology solution provider with more than 3 decades of experience across all industries, specializing in Capital Markets (Asset Management), Logistics and Healthcare. We are the preferred Microsoft Gold Certified partner backed by a world-class team of Microsoft Certified experts with rich experience in Azure Cloud Platform and Data Analytics.

Technology :

Power BI

Challenges:

The data is collected from various sources, including financial institutions, market data providers, and internal systems. The challenge is consolidating and integrating this data into a single dashboard for easy analysis and reporting. Different stakeholders within the firm, such as portfolio managers, risk analysts, and senior executives, have unique reporting and analysis requirements. The AUM dashboard must provide customizable views and reports to meet their needs. As financial data is sensitive, maintaining data security and access controls is crucial to protect client information and comply with regulatory requirements.



AUM Dashboard



Solution

We implemented an automated data integration system that collected data from various sources, transformed it into a standardized format, and loaded it into a centralized data warehouse. This allowed for consistent and reliable data analysis across the organization. The AUM dashboard was designed with a modular approach, allowing different users to customize their views based on their roles and responsibilities. Users could select and arrange widgets, charts, and reports based on their preferences. Additionally, the solution provided flexible data filtering and drill-down capabilities to enable detailed analysis. The client implemented robust security measures to protect sensitive data. This included role-based access controls, encryption of data in transit and at rest, and regular security audits to ensure compliance with regulatory standards in the financial industry.

The AUM dashboard solution provides a comprehensive and customizable tool for monitoring and analyzing assets under management. It enabled the firm to make quick decision-making, improved operational efficiency, and ensured compliance with data security regulations. The AUM dashboard empowered portfolio managers, risk analysts, and senior executives with real-time insights into investment performance, portfolio allocation, and risk exposures. This enabled them to make data-driven decisions promptly. The customizable nature of the AUM dashboard enabled different stakeholders to generate reports and views tailored to their needs—this enhanced collaboration and communication across teams.

Benefits:

Time and Resource Savings:

The dashboard simplified the AUM reconciliation process, thereby saving time and resources, which enabled the client to respond more quickly to changes in the market.

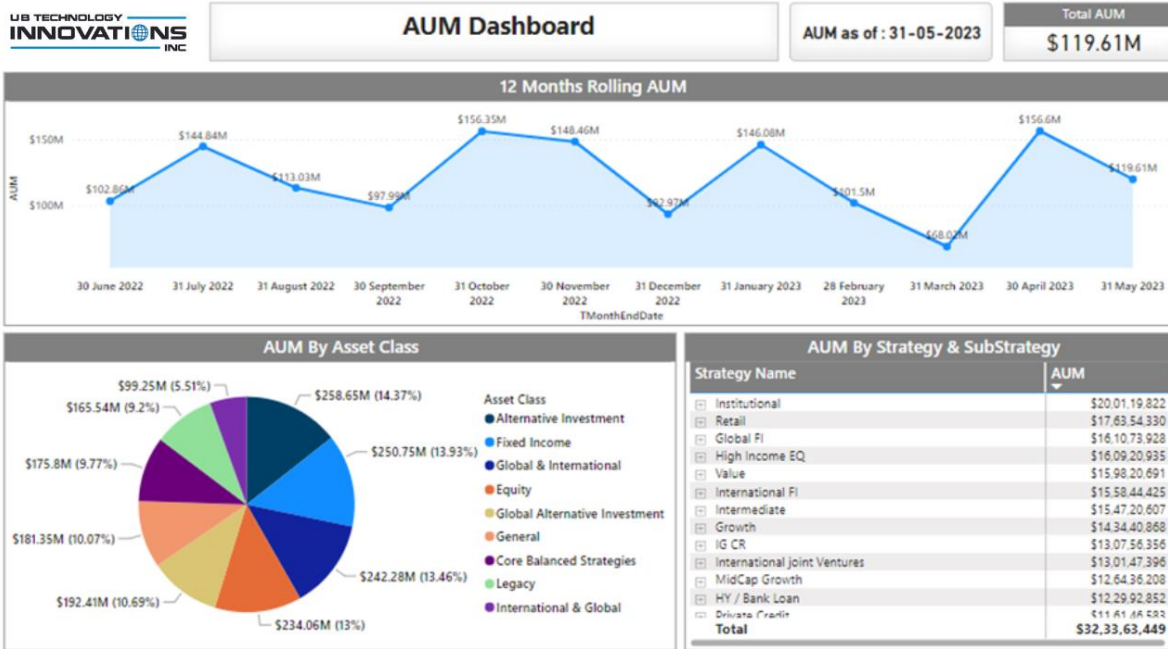
Enhanced Reliability and Data Security:

The dashboard enhanced reliability and reduced technology vulnerability. This helped minimize the risk of data breaches and ensured the data was secure and protected.

Enhanced Decision-Making:

The AUM dashboard improved operational efficiency and offered customized reporting, resulting in enhanced decisions.

AUM Dashboard



Gross and Net Flows

AUM as of : 31-05-2023

Total AUM
\$119.61M

MonthEndDate	Asset Class	Strategy	Sub Strategy	Net Flows	MTD In	MTD Out	MTD Transfer	Gross
31-05-2023	Alternative Investment	EM	Investment Grade Convertible	\$8,39,58,774	\$12,79,658	\$56,75,510	\$13,94,763	
31-05-2023	Alternative Investment	EM	Special Credit	\$1,12,913	\$14,346	(\$188)	\$0	
31-05-2023	Alternative Investment	Hedge Funds	Emerging Market Equities	\$6,57,09,684	\$60,83,469	\$13,51,546	\$13,61,620	
31-05-2023	Alternative Investment	Intermediate	Alpha Hedge	\$6,41,08,903	\$11,70,724	\$9,64,645	\$8,43,313	
31-05-2023	Alternative Investment	Intermediate	EIG Global	\$9,45,26,972	\$15,10,734	\$2,69,165	\$9,49,227	
31-05-2023	Alternative Investment	International FI	Comprehensive Asset Allocation	\$8,13,73,785	\$12,43,765	\$56,56,820	\$13,94,763	
31-05-2023	Alternative Investment	International FI	Total Return	\$8,29,91,564	\$6,63,456	\$9,56,883	\$9,83,342	
31-05-2023	Core Balanced Strategies	International Joint Ventures	Asia Pacific	\$7,49,22,285	\$51,48,676	\$15,99,747	\$10,25,509	
31-05-2023	Core Balanced Strategies	International Joint Ventures	Small Cap Value	\$6,41,08,903	\$11,70,724	\$9,64,645	\$8,43,313	
31-05-2023	Core Balanced Strategies	Retail	Department of Water & Power	\$4,17,45,111	\$3,71,308	\$55,20,490	\$6,85,664	
31-05-2023	Core Balanced Strategies	Retail	Investment Grade Convertible	\$8,39,58,774	\$12,79,658	\$56,75,510	\$13,94,763	
31-05-2023	Equity	International & Global EQ	LIBOR Plus	\$2,77,38,652	\$5,90,488	\$6,67,430	\$6,74,565	
31-05-2023	Equity	International & Global EQ	Low Beta Equities	\$5,16,85,987	\$4,36,953	\$55,99,044	\$6,85,664	
31-05-2023	Equity	International Balanced	Japan Asset	\$3,90,27,166	\$3,28,222	\$55,99,184	\$1,21,021	
31-05-2023	Equity	International Balanced	Small Cap Value	\$6,41,08,903	\$11,70,724	\$9,64,645	\$8,43,313	
31-05-2023	Equity	MidCap Growth	Emerging Market Equities	\$6,57,09,684	\$60,83,469	\$13,51,546	\$13,61,620	
31-05-2023	Equity	MidCap Growth	Select International Growth Equities	\$7,22,95,291	\$25,90,778	\$15,42,974	\$10,25,509	
31-05-2023	Equity	Private EQ / Alternatives	Alpha Core	\$5,64,43,034	\$27,59,904	\$8,38,426	\$13,61,620	
31-05-2023	Equity	Private EQ / Alternatives	Japan Asset	\$3,90,27,166	\$3,28,222	\$55,99,184	\$1,21,021	
31-05-2023	Fixed Income	Crescent Leveraged Fin	Crescent Bank Loan	\$3,15,19,962	\$6,45,052	\$6,67,430	\$7,40,310	
31-05-2023	Fixed Income	Crescent Leveraged Fin	Department of Water & Power	\$4,17,45,111	\$3,71,308	\$55,20,490	\$6,85,664	
31-05-2023	Fixed Income	Global / International & Sector / Specialized	Comprehensive Asset Allocation	\$8,13,73,785	\$12,43,765	\$56,56,820	\$13,94,763	
31-05-2023	Fixed Income	Global / International & Sector / Specialized	Equity Favoured Convertible	\$4,67,473	\$60,004	\$75,257	\$0	
31-05-2023	Fixed Income	Global FI	Adjustment For Duplicate Reporting	\$7,16,94,239	\$12,36,264	\$10,43,199	\$8,35,965	
31-05-2023	Fixed Income	Global FI	China Directed Investment	\$9,26,73,710	\$7,45,284	\$2,69,274	\$9,56,575	
31-05-2023	Fixed Income	Growth	All Cap Growth	\$7,23,21,954	\$22,80,200	\$9,97,265	\$9,65,772	
31-05-2023	Fixed Income	Growth	Core Growth	\$7,59,84,595	\$5,74,578	\$10,13,638	\$2,08,778	
Total				\$34,41,18,035	\$1,10,27,103	\$97,27,483	\$48,65,333	

AUM Dashboard



UB TECHNOLOGY INNOVATIONS INC		Global Fixed Income				AUM as of : 31-05-2023		Total AUM	
								\$119.61M	
MonthEndDate	31-05-2023				30-04-2023				
Fixed Income	AUM(U.S.\$)	Gross Value	AUM(U.S.\$ Millions)	Gross Value	AUM(U.S.\$ Billion)	Gross Value	%	AUM(U.S.\$)	% Change
Core FI	\$22,33,457		2.23		0.22	1.87%			-0.13%
Alpha Core	\$22,33,457		2.23		0.22	1.87%			-0.11%
EM	\$45,33,457		4.53		0.45	3.79%			-0.02%
Investment Grade Convertible	\$45,33,457		4.53		0.45	3.79%			-0.14%
Global FI	\$1,96,96,555		19.70		1.97	16.47%			-0.13%
Adjustment For Duplicate Reporting	\$97,96,677		9.80		0.98	8.19%			-0.01%
China Directed Investment	\$98,99,878		9.90		0.99	8.28%			-0.26%
HY / Bank Loan	\$90,12,367		9.01		0.90	7.53%			-0.13%
Global ADR	\$67,78,910		6.78		0.68	5.67%			-0.13%
International ADR	\$22,33,457		2.23		0.22	1.87%			-0.13%
IG CR	\$1,15,82,512		11.58		1.16	9.68%			-0.13%
Total	\$6,61,57,333		66.16		6.62	55.31%			-0.60%

MonthEndDate	31-05-2023				30-04-2023				
Sector Name	AUM (U.S. \$)	AUM (U.S. \$ Millions)	AUM (U.S. \$ Billions)	%GT Sum of %	AUM (U.S. \$)	AUM (U.S. \$ Millions)	AUM (U.S. \$ Billions)	%	% Change
AGC	3,26,52,842.91	3,265.28	32.65	33.47%	4,89,79,264.37	4,897.926437	48.98	33.47%	-3%
ASSET-BACKED SECURITIES	50,54,681.00	505.47	5.05	0.04%	75,82,021.50	758.202150	7.58	0.04%	-3%
COLLATERALISED BY MORTGAGES	1,14,829.35	1.14	0.11	0.14%	1,72,244.03	1.7224403	0.17	0.14%	-3%
COMMERCIAL MBS	77,99,431.78	779.94	7.80	0.29%	2,11,04,147.67	2,110.414767	21.10	0.29%	-6%
Total	14,30,37,839.82	14,303.78	143.04	39.84%	22,89,45,424.46	22,894.542446	228.95	39.84%	-20%

MonthEndDate	31-05-2023				30-04-2023				
Credit Asset	AUM (U.S. \$)	AUM (U.S. \$ Millions)	AUM (U.S. \$ Billions)	%	AUM (U.S. \$)	AUM (U.S. \$ Millions)	AUM (U.S. \$ Billions)	%	% Change
HY Credit	1,60,38,483.19	1,603.85	160.38	13.33%	2,15,99,855.64	2,159.99	216.00	18.41%	-0.41
IG Credit	4,39,13,716.72	4,391.37	439.14	26.67%	6,62,27,590.40	6,622.76	662.28	41.55%	-0.84
Total	5,99,52,199.91	5,995.22	599.52	40.00%	8,78,27,446.04	8,782.74	878.27	60.01%	-1.25

UB TECHNOLOGY INNOVATIONS INC		Fixed Income - Credit Breakdown				AUM as of : 31-05-2023		Total AUM	
								\$119.61M	
Period	Published Flag	Month End Date	Asset Class Name	Sector Roll Up					
Multiple selections	PUB	All	All	All					

Credit Category	31-05-2023	30-04-2023
High Yield	1,60,38,483.19	2,15,99,855.64
Crescent Leveraged Fin	16,75,000.00	16,75,000.00
EM	52,97,151.07	72,54,792.64
Growth	28,88,871.53	34,56,439.32
HY / Bank Loan	13,11,353.58	19,67,030.37
Institutional	8,06,712.00	12,10,068.00
International Balanced	6,67,636.04	9,48,886.86
International FI	31,45,512.50	47,18,268.75
Other EQ	2,46,246.47	3,69,369.70
Investment Grade	4,39,13,716.72	6,62,27,590.40
Core FI	9,00,473.69	13,50,710.54
Crescent Leveraged Fin	37,38,945.82	49,83,103.54
EM	15,13,491.60	29,73,877.43
Global FI	89,26,265.60	1,33,89,398.39
Growth	11,75,957.36	17,63,936.04
High Income EQ	34,87,494.49	52,31,241.73
HY / Bank Loan	22,72,243.34	34,08,365.01
Institutional	1,23,613.03	19,23,565.41
International FI	35,19,999.83	38,20,544.36
MidCap Growth	1,57,89,015.30	2,36,83,522.94
Other EQ	24,66,216.67	36,99,325.00
Total	5,99,52,199.91	8,78,27,446.04

Credit Category	31-05-2023	30-04-2023
High Yield	2,26,97,968.11	3,41
FINANCIAL INSTITUTIONS	25,00,000.00	2
GOVT PUBLIC SERVICE	1,12,928.00	
HOUSING	2,74,752.00	
INDUSTRIAL	1,82,02,310.11	2.7
MUNICIPAL	2,21,998.00	
SOVEREIGN	10,00,000.00	3
Total	7,31,46,498.90	11.11

Portfolio Number	31-05-2023	30-04-2023
12123	4,23,67,856.00	6,35,5
COTINENTAL ASSETS	4,23,67,856.00	6,35,5
12122	1,29,12,912.00	4,24,2
SOUTH ST CAPITAL	1,29,12,912.00	4,24,2
12121	2,99,85,632.00	3,62,71
TOYOTA	2,99,85,632.00	3,62,71
12120	1,59,59,512.00	1,59,5
PRIM FUND	1,59,59,512.00	1,59,5
12119	2,64,86,264.00	3,97,2
FOCUS GROWTH	2,64,86,264.00	3,97,2
12118	1,95,79,572.00	2,93,6
ALPHA 92	1,95,79,572.00	2,93,6
12117	1,68,86,396.00	2,53,2
M.S HOLLINGS	1,68,86,396.00	2,53,2
Total	26,06,37,814.02	39,82,5

Distribution Vehicle	31-05-2023	30-04-2023
Trust	2,534.72	3,89,402.10
Sub-advised	34,18,696.84	78,21,940.86
Separate Account	2,81,89,212.57	3,97,19,298.12
Partnership	81,23,517.76	1,19,02,995.08
Total	4,09,05,312.79	6,10,04,987.06

UB TECHNOLOGY INNOVATIONS INC		Equities				AUM as of : 31-05-2023		Total AUM	
								\$119.61M	
MonthEndDate	31-05-2023				30-04-2023				
Equities	Net Value (\$)	Net Value (\$ Millions)	Net Value (\$ Billions)	%	Net Value (\$)	Net Value (\$)	%	% Change	
Value	\$1,33,43,234	13.34	1.33	11.16%	\$1,63,10,110			-0.26%	
Select International Growth Equities	\$34,43,356	3.44	0.34	2.88%	\$67,78,910			-0.13%	
China Directed Investment	\$98,99,878	9.90	0.99	8.28%	\$95,31,200			-0.13%	
Private EQ / Alternatives	\$1,20,30,134	12.03	1.20	10.06%	\$1,32,44,753			-0.18%	
Japan Asset	\$97,96,677	9.80	0.98	8.19%	\$65,66,897			-0.07%	
Alpha Core	\$22,33,457	2.23	0.22	1.87%	\$66,77,856			-0.11%	
Other EQ	\$55,66,788	5.57	0.56	4.65%	\$12,45,678			-0.19%	
Alpha Tactical	\$55,66,788	5.57	0.56	4.65%	\$12,45,678			-0.06%	
High Income EQ	\$1,24,13,137	12.41	1.24	10.38%	\$1,90,23,275			-0.13%	
Growth Opportunities	\$56,34,227	5.63	0.56	4.71%	\$90,98,654			-0.13%	
Asia Pacific	\$67,78,910	6.78	0.68	5.67%	\$99,24,621			-0.13%	
Growth	\$2,34,79,778	23.48	2.35	19.63%	\$1,23,66,101			-0.26%	
Core Growth	\$2,23,45,245	22.35	2.23	18.68%	\$56,74,789			-0.13%	
All Cap Growth	\$11,34,533	1.13	0.11	0.95%	\$66,91,312			-0.13%	
Global / International & Sector / Specialized	\$65,55,754	6.56	0.66	5.48%	\$45,67,447			-0.15%	
Total	\$7,33,88,825	73.39	7.34	61.36%	\$6,24,00,620			-0.59%	

31-5-2023		30-04-2023	
Total Equity AUM (Firm Tab) - Includes Int'l EQ Shares	32,33,63,449.00	Total Equity AUM (Firm Tab) - Includes Int'l EQ Shares	34,41,18,035.00
International Balanced (EQ) Firm Tab	2,23,45,245.00	International Balanced (EQ) Firm Tab	2,24,89,643.00
Total Equities (Excluding Int'l Bal EQ Piece)	30,10,18,204.00	Total Equities (Excluding Int'l Bal EQ Piece)	32,16,28,392.00

Note : "Total Equities (All)" number above should equal the "Equities" AUM from the "Firm AUM" tab minus the International Balanced (EQ) number from the "Firm AUM" Tab.