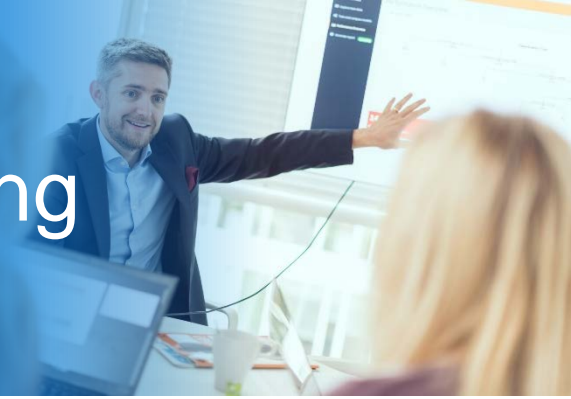




# PwC Sanctions Testing

Powered by Microsoft



Financial crime evolves quickly and financial institutions have to respond to these challenges as well as to ongoing regulatory changes. In such an environment, keeping the cost of compliance under control and improving risk coverage at the same time represent a complex challenge. Financial institutions that are able to resolve such brainteasers will gain a competitive advantage over their competitors.

## Only 1 out of 5 organizations perform any sanctions testing. Use our solution, open the black box and cover sanctions risks

PwC's Sanctions Testing provides a solution for testing the effectiveness and efficiency of a sanctions screening system using PwC's three step process. This PwC-led, Microsoft-based solution enables financial institutions to understand their screening system performance by testing the system and subsequently providing effectiveness and efficiency figures. Financial institutions will be able to regularly understand the weaknesses and strengths of the system and to understand any impact of configurational changes on the screening effectiveness.

## Do not let financial crime risk manage you. Be on top!

Here's how PwC's Sanctions Testing solution benefits customers:

- Improve financial risk coverage
- Measure screening system performance
- Identify weaknesses and strengths of your screening system
- Validate your actual screening configuration



### Fast Track

- Quick and straightforward configuration and generation of test data
- Easy use with existing sanctions screening solutions
- Interactive and rich UI to present and analyze testing results



### Transparent

- Opening the logic of a black box solution like sanction screening system
- Full audit trail of sanctioned records used for the test
- Supporting metrics and test evidence available for each test case
- Testing the as-is configuration of your screening system



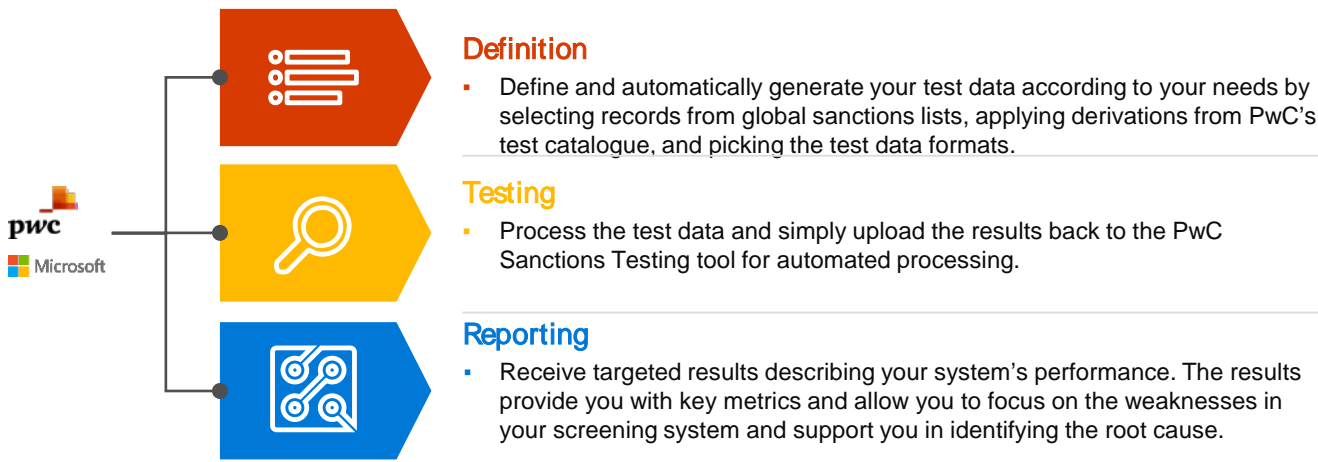
### Focused

- Create targeted test cases specific to your environment
- Easily identify the missed names and common patterns
- Understand your screening system performance and identify its weaknesses
- Help you to set up appropriate fuzzy matching thresholds and understand impact of such change

By conducting regular sanctions screening testing and quantifying risks, financial institutions can understand their exposure and quickly respond to the threats

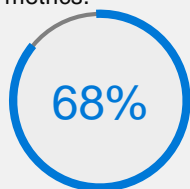
# Discover your screening system's performance

Leverage PwC Sanctions Testing to validate system performance and to avoid screening misconfiguration and potential regulatory fines.



## Test completely and easily understand your risks

Sanctions Testing accelerates your assessment and optimization effort and is designed to provide focused insights quickly. Identify the weaknesses and strengths of your screening system and verify the actual performance of your system. Meet the recommendations of regulators in the area of screening system testing and collect supporting metrics.



Average effectiveness of sanctions systems is only 68%, i.e. 32% of sanctioned names may be missed by the system.



Average time users of PwC's Sanctions Testing need to create a customized test case.

## Become a leader in a new and transformed world

Leverage an alliance of strong technical competence and experience-led business strategies

PwC and Microsoft work together to develop and deliver solutions that infuse transformation and impact organizations. PwC's financial crime expertise tailors its Sanctions Testing to be relevant and advantageous to your business; Microsoft's broad stack of agile, interoperable Azure technologies provides deeper analytics and visibility to solve complex business problems.

- Improve business processes and ease of use with PwC's consultative, business-led approach combined with Microsoft technologies to enable rapid implementation and return on investment
- Benefit from PwC and Microsoft's demonstrated success and strength in leading and supporting strategic transformations across multiple business functions

Contact PwC: