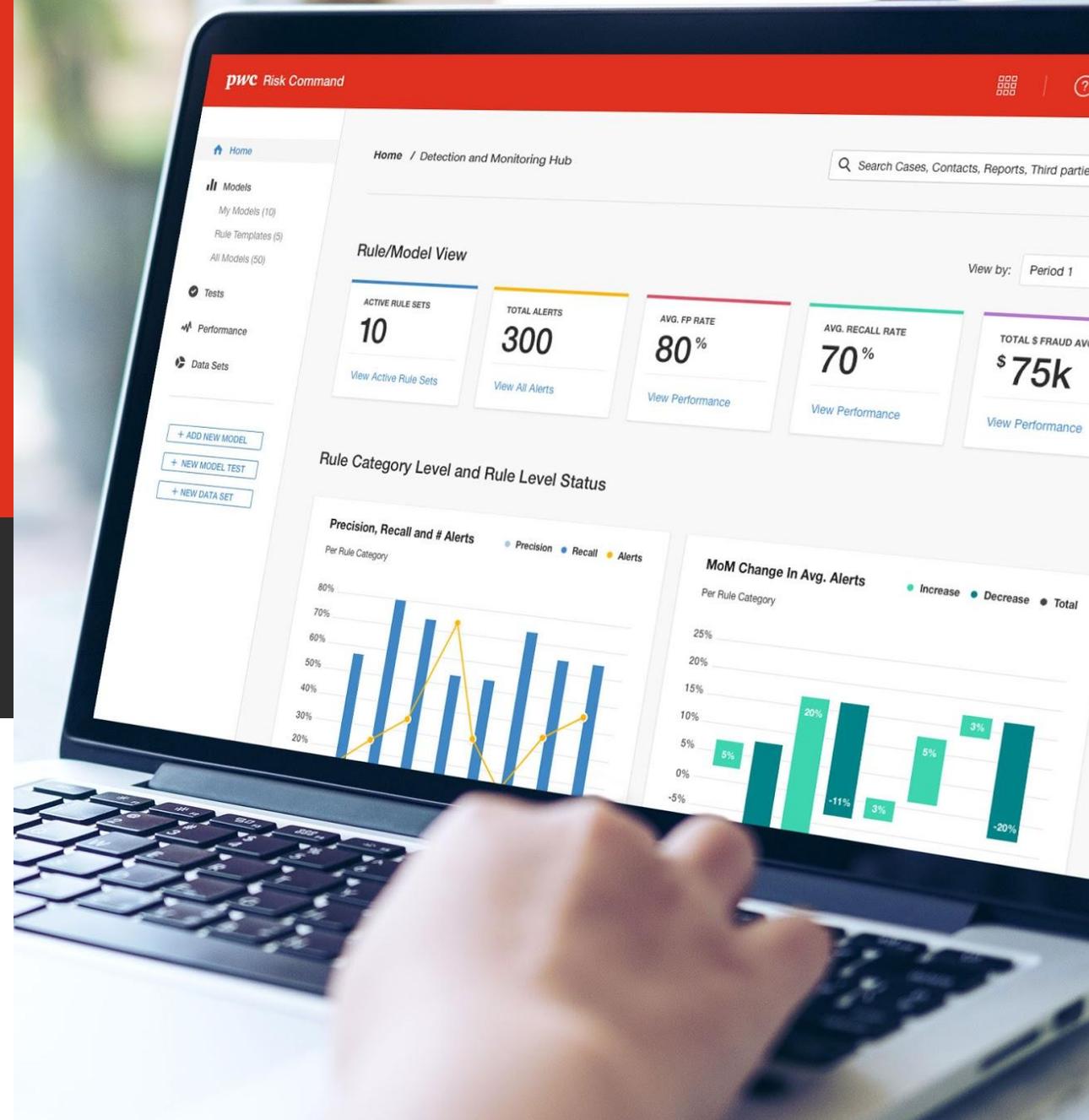


Risk Command

Transform risk and compliance into a competitive advantage



The cost of fraud is devastating.

\$652_b

on-the-job fraud costs for American businesses annually.

And fraud is only part of the picture. Compliance penalties ordered by the SEC are trending up over the past four years.*

Source: The True Cost of Fraud: Direct Costs

*Source: SEC Annual Report: Division of Enforcement, 2018

Proactive companies are more successful.

2_x

more likely to view Risk Management as key to achieving strategic goals.

Companies with a CAGR of 5% or more prioritize risk management, and it shows in their topline.

Source: PwC, Global Crisis Survey, 2019

Effective compliance can pay off.

3_x

average return on investment for compliance activities.

The cost of non-compliance outweighs the cost of a well designed compliance program, which can generate a return.

Source: The True Cost of Compliance, Corporate Compliance Insights, 2018

Failing to generate insights prevents strategic risk and compliance action, creating decision paralysis and may escalate crisis

CHALLENGE

Siloed systems and data

Risk and compliance are currently seen as back-office

Manual processes are cumbersome and systemic

Chief Compliance Officers are hungry for solutions to transform



CONSEQUENCE

Lack of insight hampers decisions and creates duplicative work, resulting in wasted money and effort

Inability to push business strategy forward when compliance teams can't adequately identify and monitor the associated risk

Inconvenience created by business processes shouldered by employees, increasing response time and error rates

Irrelevant business actions from using yesterday's techniques to monitor and communicate risks in an increasingly digital world

What if a platform helped you respond to risk quickly and holistically?

What if risk and compliance became your competitive advantage?





Introducing Risk Command

The proactive risk and compliance platform, built on Microsoft Azure, helps you respond and remediate

Why settle for a “good enough” approach to risk and compliance when you can have a competitive advantage?

Risk Command goes further. Not only does it help monitor and identify potential threats, the AI capabilities can also help you respond and remediate.

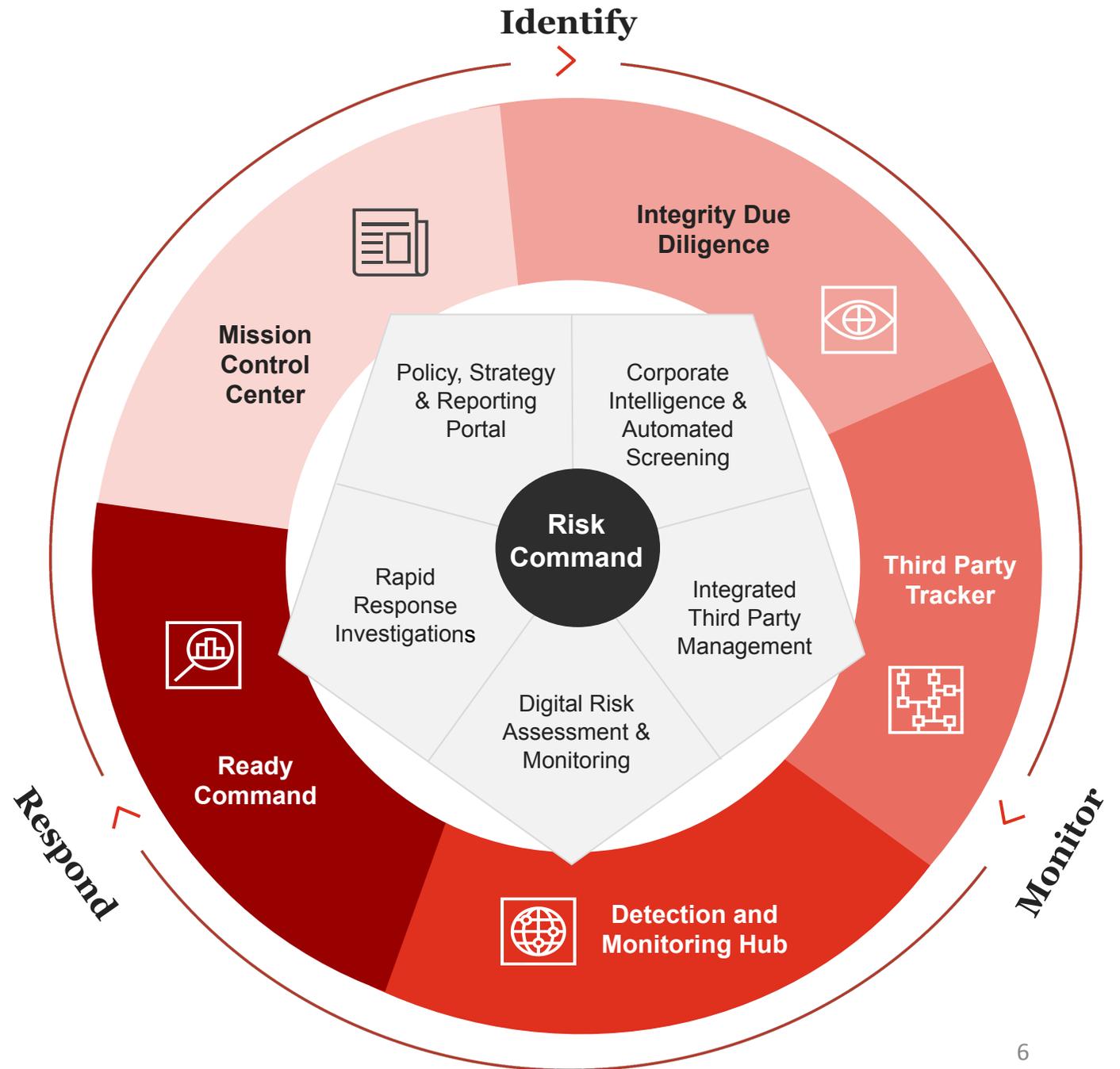
This helps allow you to anticipate downstream impact and map next moves with speed and confidence.

Go from a “check the box” back-office mentality to an action-oriented strategic outlook, armed with the intelligence needed to help protect your strategy.

Created from years of hard-won experience and Microsoft expertise in advanced analytics and AI capabilities, Risk Command is an instant experienced professional ready to help enhance your team right out of the box.

Risk Command

An integrated platform, built on Microsoft Azure, that creates a **virtual** compliance function from anywhere in the world.





BUSINESS BENEFITS

Promotes strategic
alignment,
supports your
corporate strategy

Strategize for entering new markets

Inform decisions on entering new markets, and identify opportunities within current markets

Increase speed-to-close deals

Identify areas of risk when performing due diligence prior to entering into mergers or acquisitions

Expand into new partnerships and markets confidently

Make well-informed, risk-smart decisions with confidence and speed when beginning or continuing work with third party vendors

Allocate cost efficiently for more accurate issue resolution

Identify duplicate and incremental costs of siloed efforts

Reduce impact of threat and remediate issues quickly

Machine learning algorithms prioritize response to risk more effectively

Protect company finances and brand reputation

Mitigate regulatory investigations and protect reputations that can be won and lost overnight



TEAM BENEFITS

Transforms compliance
from a roadblock into
an asset

Bringing risk and compliance from the back-office into the boardroom doesn't just help the bottom line, it's better business for everyone.

Eliminate silos

Currently, the systems, information, geography and people are disparate and unintegrated. Risk Command brings everything up to a single view, helping you to identify and capitalize on areas of convergence across compliance and fraud programs, and aligning compliance activities with corporate strategy - wherever you are.

Increase consistency

When your teams have a unified view of how the organization works as a whole, there is more consistency in risk and compliance actions – and fewer decisions, fueled by reliable recommendations, can have broader reach.

Reduce errors

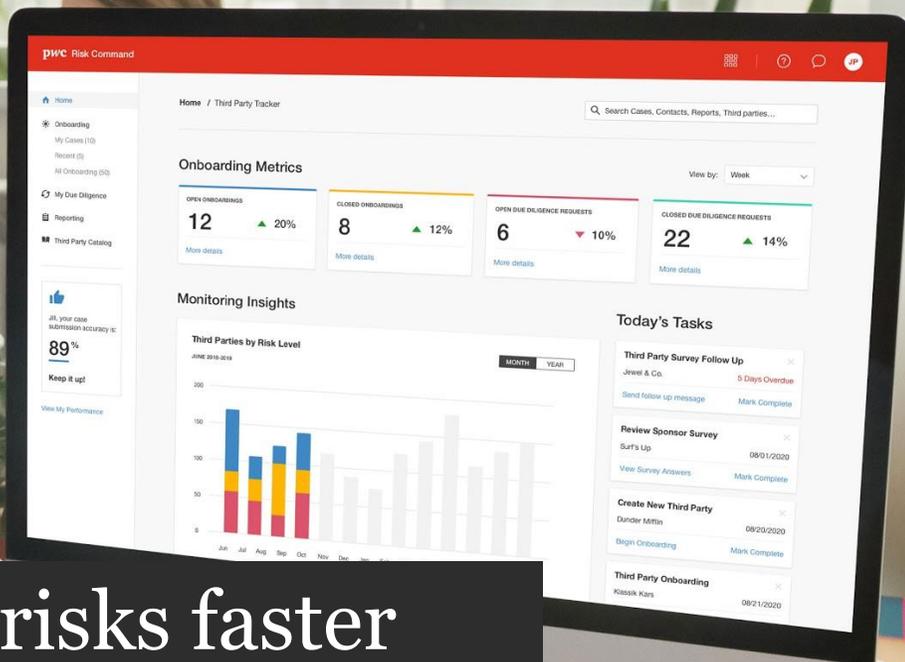
The power of Microsoft AI platform helps reduce manual errors and identifies and reduces false positives. We couple that with embedded expertise that replicates the processing intelligence of a specialist. This gives your teams increased time on high-priority tasks, allowing you to achieve more.

A man with dark, wavy hair and glasses is looking at a computer screen in a blurred office environment. The background shows other desks and monitors, suggesting a busy workspace. The lighting is soft and focused on the man.

How does Risk Command identify,
monitor and help respond to risk?

IDENTIFY

Spot risks faster
and better



Automate data ingestion from disparate sources

Risk Command has the capability to consolidate data from multiple systems for analysis on-demand.

Apply advanced analytics and AI to generate alerts

The rules engine applies advanced analytics algorithms and machine learning to detect anomalies, incorporating our knowledge of leading risk and control taxonomies and regulatory trends.

Configure workflow and case management

Team members from anywhere in the world can simultaneously work from a centralized, Azure-enabled platform to perform assigned review of transactions, document observations and escalate issues.

Create consistency by providing an audit trail

Users can upload supporting documentation, request information from external parties, record feedback and review progress of assigned tasks.

Helping you manage the time of your most limited resource – your people.

MONITOR

Claim your role in
mission control

Track reports and findings from a single dashboard

Executives obtain a real-time, enterprise view of the risk landscape across a wide variety of data sources that are displayed in a unified view.

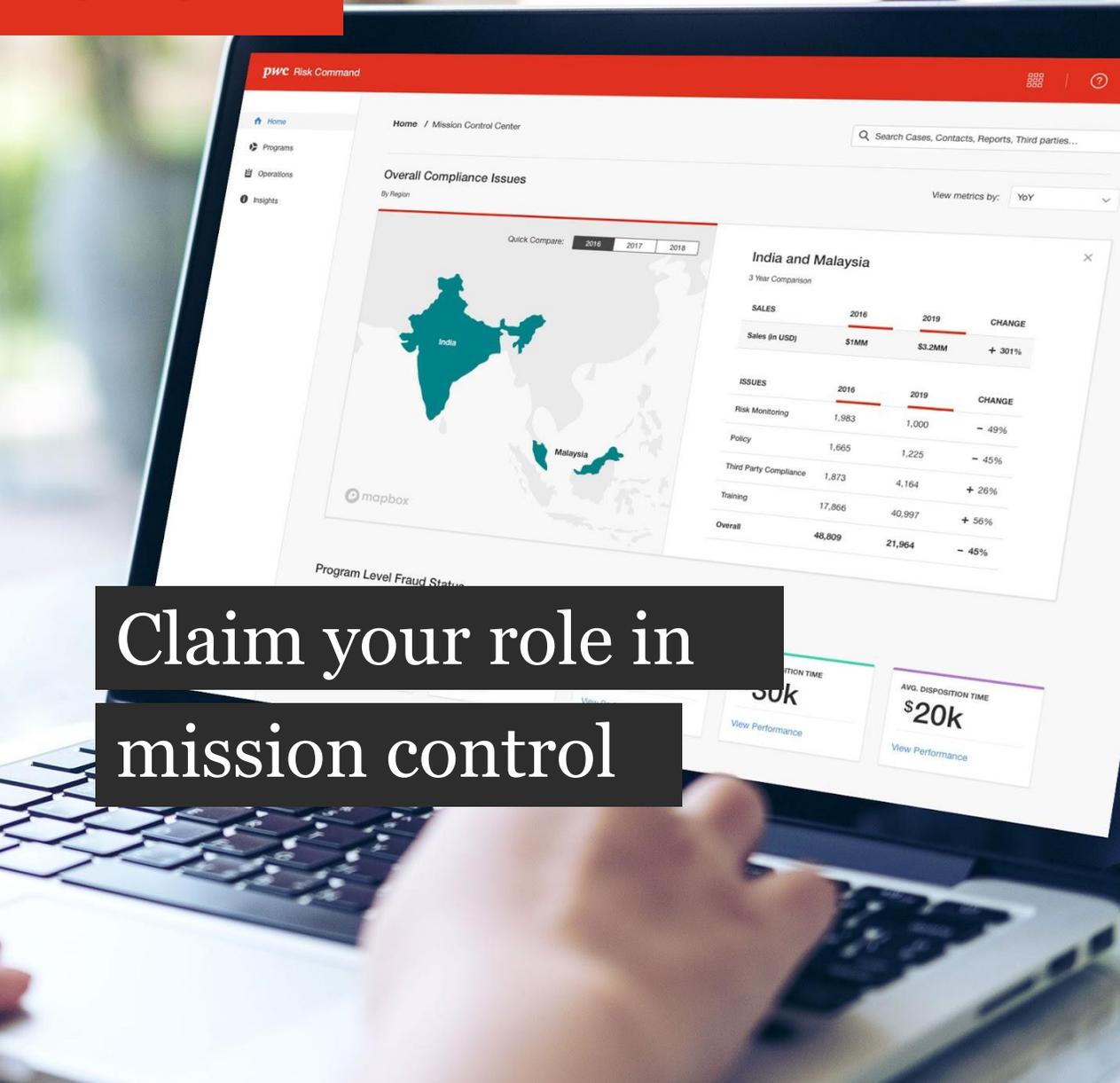
Bring clarity to program results and effectiveness

Risk Command brings visibility into inherent and residual risks across your organization, summarizing the monitoring of program and control effectiveness and managing team execution and results.

Detect valuable insights rapidly

Intuitive, interactive dashboards visualize results to reveal new perspectives on uncovered risk areas.

Giving you the confidence to make strategic decisions for your business, while guiding your organization into the future.



RESPOND

Risk Score: 83 / 100 Initial Receipt Date: 02/13/2019 IN PROGRESS 5 DAYS OVERDUE

COMPANY
TaoBao Limited
123 Figueroa Ave
Bollywood, CL 900135
USA

CONTACT 1
Katie Curry
CONTACT 2
Jason Armstrong
CATEGORY
ABAC Review

SUCCESS Residual Risk Survey Complete
Due Diligence Request is Recommended
Based on the survey risk score, we recommend you fill out a due diligence request.

How is risk scored?

SKIP

REQUEST

ACTIVITY INHERENT RISK RESIDUAL RISK DOCUMENTS NOTES

CANCEL

SAVE CHANGES

Residual Risk Survey

Submitted by: Katie Curry
Date: 01/01/2019

Prioritize responses.

Accelerate decisions.

Prevent crises.

Escalate and remediate issues rapidly

Pre-built rules and models automatically consume and escalate generated alerts that need immediate action and warrant a deeper dive, allowing quantification of the potential extent and impact of a crisis.

Create a feedback loop to inform future decisions

Risk Command facilitates real-time, corrective actions to prioritized risks your organization faces so that you can adjust your approach for assessing and monitoring risk.

Bring clarity and confidence when a crisis hits

Relevant information is consolidated to a single source, streamlining investigation and remediation, as well as reducing disruption to your organization.

Providing you an effective next step to help reduce the impact of fraud and non-compliance.

The Risk Command difference



Built with experience

Risk Command is a platform that uses advanced algorithms and AI, embedding years of industry experience into detecting and responding to risks faster and with greater accuracy.

A leading solution

Among the first offerings with an integrated platform that both digitizes the entire risk process and examines risk for fraud and non-compliance, Risk Command helps protect your finances and brand.

Priced for what you need

We provide transparent, structured pricing options consisting of a one-time setup fee and/or annual subscriptions that adapt to changes in your strategic business plan.

Easy to use

Risk Command is built to work for you. Whether you require the whole suite or a custom curation, it's built to get off the shelf and into action quickly.

“

One step on our journey to digital transformation was to develop an end-to-end analytics solution that risk scores sales transactions to detect anomalies and route them for additional compliance oversight. With Risk Command, we started with post-deal reviews, and are now proactively identifying high-risk deals before entering into them...to help prevent non-compliance before it happens.

Compliance Officer,
Fortune 100 Technology Company



”

PwC is one of a handful of Forensic Investigator providers capable of investigating financial crime or regulatory infractions in the world's largest corporations. There is no project that is too big for the practice.

Kennedy,
“Forensics and Dispute Advisory
Financial Consulting worldwide 2015”;
© ALM Media, LLC; (Matt Lyons, Author)

Helping Microsoft prioritize ethics and integrity

THE SITUATION

Microsoft recognized that their sales channel structure exposed them to risks of the unknown, both from a regulatory and a reputation standpoint. That recognition led to an opportunity to mitigate corruption risk while also maintaining ethical business practices.



What we did...

We helped Microsoft design a digital solution that would not only detect corruption, but also help prevent potential risks from becoming issues.

We used PwC's tech industry professionals and vast knowledge of channel partner corruption and compliance risks, incorporating it into our analytics-based platform.

The platform provided real-time compliance insights throughout the sales deal lifecycle. Using the latest digital technologies, our platform did the heavy lifting by monitoring corruption risks, and identifying trends, patterns, relationships and anomalies.

This allowed Microsoft to efficiently review and respond to flagged transactions, strategically manage identified risks and implement appropriate controls.

How did it do?

6 data sources

brought together, which helped identify and manage company-specific compliance risks

Focused resources

to conduct end-to-end reviews, which improved efficiency in workflow



Talk to the people behind Risk Command



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Principal, Forensic Services
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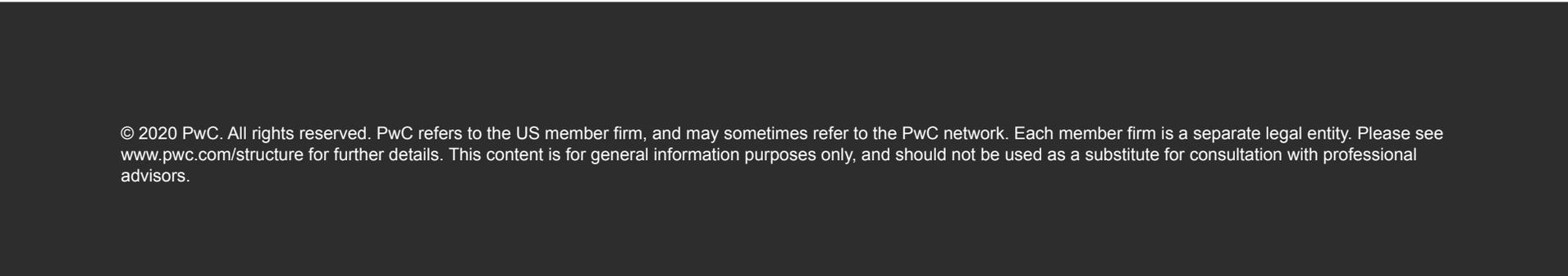
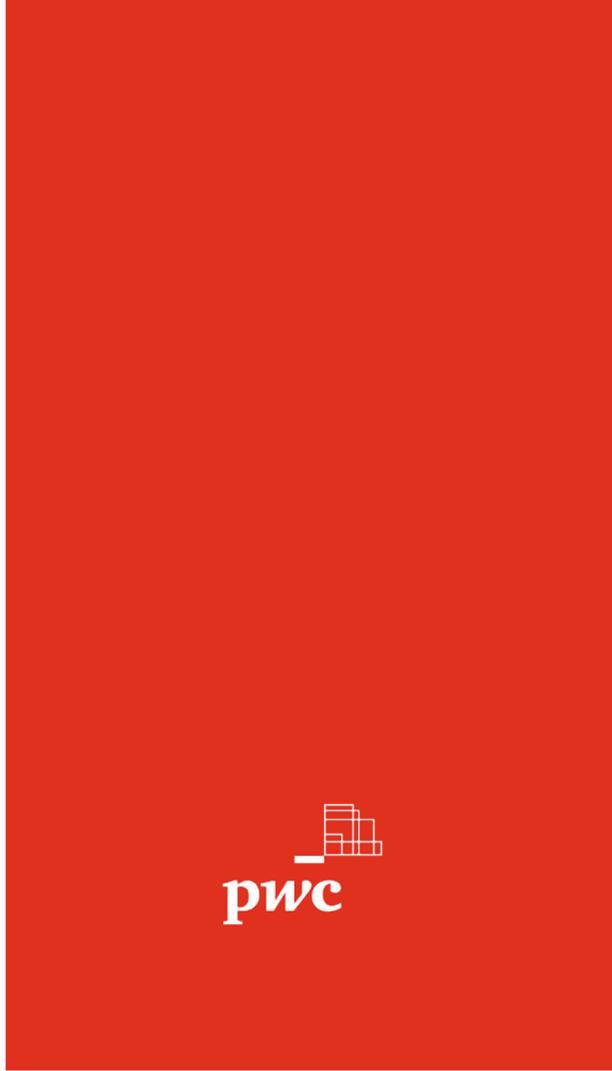
1.678.419.2993

justin.m.offen@pwc.com



Thank

You



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